# UNITED STATES COURT OF APPEALS FOR THE DISTRICT OF COLUMBIA CIRCUIT

No. 23-3152

UNITED STATES OF AMERICA,

Appellee,

v.

JONATHAN SHROYER,

Appellant.

# APPELLEE'S MOTION TO DISMISS APPEAL, OR IN THE ALTERNATIVE, OPPOSITION TO APPELLANT'S EMERGENCY MOTION FOR RELEASE PENDING APPEAL

Pursuant to Fed. R. App. P. 27, appellee, the United States of America, by and through the United States Attorney for the District of Columbia, respectfully moves to dismiss the appeal of appellant Jonathan Shroyer in accordance with the plea agreement in this case. Should this Court allow Shroyer's appeal to proceed, the Court should deny the motion for release pending appeal because Shroyer cannot satisfy the requirements of 18 U.S.C. § 3143(b).

### INTRODUCTION

Filed: 10/23/2023

Shroyer seeks to appeal his within-Guidelines sentence of 60 days' incarceration, which was imposed after he pleaded guilty to one count of entering and remaining in a restricted building or grounds, in violation of 18 U.S.C. § 1752(a)(1). However, as part of his written plea agreement, Shroyer waived the challenge to his sentence that he now attempts to press on appeal. This Court should enforce the plea agreement and dismiss Shroyer's appeal.

Should the Court decline to dismiss the appeal, Shroyer's motion for release pending appeal should be denied on the merits. Shroyer claims that the district court violated the First Amendment when it considered Shroyer's speech in determining his sentence. However, a sentencing court may consider a defendant's statements if those statements are relevant to legitimate sentencing considerations. See Dawson v. Delaware, 503 U.S. 159, 165 (1992). Here, the district court properly considered Shroyer's statements during and after the charged offense in its analysis of the 18 U.S.C. § 3553(a) sentencing factors. Because the district court committed no error, Shroyer cannot establish that his appeal "raises a substantial question of law or fact" likely to result in

either "a sentence that does not include a term of imprisonment," or "a reduced sentence to a term of imprisonment less than the total of the time already served plus the expected duration of the appeal process." *See* 18 U.S.C. § 3143(b)(1)(B); Fed. R. App. P. 9(c).

### BACKGROUND

# A. Shroyer Participated in the January 6, 2021, Attack on the U.S. Capitol.

Jonathan Shroyer hosts an internet-streaming program for the company InfoWars (ECF 39, ¶ 11). Prior to January 6, 2021, Shroyer traveled with InfoWars to Washington, D.C., to attend the Stop the Steal rally and surrounding events (id.).

On January 6, 2021, Shroyer joined the mob that was attacking the U.S. Capitol, and illegally entered and remained in the restricted area of the Capitol grounds (ECF 39, ¶¶ 1-7, 14-16). Shroyer entered the restricted grounds on the Capitol's west front, where he proceeded to stand on stacks of chairs and other equipment and lead the crowd in chants of "USA! USA!" (id. ¶ 14). Eventually, Shroyer made his way to the east side of the Capitol (id. ¶ 15). He and others in his group entered the Capitol building's east steps with their hands on each other's backs and shoulders in a "stack," snaking through hundreds of other

rioters, deeper into the restricted area (*id*.). They nearly reached the top of the steps, where Shroyer used his megaphone to lead the large crowd in various chants, including, "USA!" and "1776!" (*id*.).

In addition to violating § 1752(a)(1), Shroyer's conduct on January 6 also violated his February 2020 deferred-prosecution agreement (ECF 39, ¶¶ 8-10). That agreement resulted from Shroyer's conduct in December 2019, when he allegedly disrupted a House Judiciary Committee meeting in the Longworth House Office Building by jumping out of his seat and shouting in a loud manner (id.). Under his deferred-prosecution agreement, Shroyer agreed not to violate any laws and to follow certain conditions (id. ¶ 9). Specifically, he agreed not to "utter loud, threatening, or abusive language, or to engage in any disorderly or disruptive conduct" on the Capitol Grounds with the intent to impede, disrupt, or disturb congressional proceedings (id.). The agreement included a map that demarcated the "U.S. Capitol and Grounds" (id.). The agreement was still in effect on January 6, 2021, and Shroyer's conduct within the restricted area on January 6 occurred

within the broader "U.S. Capitol and Grounds" boundaries imposed by his deferred-prosecution agreement (id. ¶¶ 10, 14).<sup>1</sup>

# B. Shroyer Pleaded Guilty and Agreed to Waive the Right to Appeal a Sentence Within the Guidelines.

On August 25, 2021, Shroyer was charged by a four-count information for his conduct on January 6 (ECF 5). He was charged with: entering and remaining in a restricted building or grounds, in violation of 18 U.S.C. § 1752(a)(1) (Count One); disorderly and disruptive conduct in a restricted building or grounds, in violation of 18 U.S.C. § 1752(a)(2) (Count Two); disorderly conduct on Capitol grounds, in violation of 40 U.S.C. § 5104(e)(2)(D) (Count Three); and obstructing or impeding passage through or within the Capitol grounds, in violation of 40 U.S.C. § 5104(e)(2)(E) (Count Four) (id.).

On June 23, 2023, Shroyer pleaded guilty to Count One pursuant to a written plea agreement (Minute Entry 6/23/23; ECF 38). In return,

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¹ In his motion for release, Shroyer states (at 11) that the government "attempted to mislead" the court at sentencing regarding the status of his deferred prosecution agreement. This is wrong. The agreement was still in effect on January 6, 2021, because Shroyer had not completed his required hours of community service (ECF 39, ¶ 10). Indeed, at sentencing, Shroyer acknowledged that he completed his hours "after January 6th" (Sentc'g Tr. at 35:10-20).

the government agreed to dismiss Counts Two through Four at sentencing and not to prosecute any other charges based on the conduct described in the statement of offense (ECF 38 at 2). As to a potential sentence, the parties agreed that under the Sentencing Guidelines, the estimated offense level "will be at least 4," and Shroyer's criminal history category "is estimated to be II" (*id.* at 3). Thus, Shroyer's "Estimated Guidelines Range" was "0 months to 6 months" (*id.*).

The plea agreement also contained an explicit appeal waiver:

Your client agrees to waive, insofar as such waiver is permitted by law, the right to appeal the conviction in this case on any basis, including but not limited to claim(s) that (1) the statute(s) to which your client is pleading guilty is unconstitutional, and (2) the admitted conduct does not fall within the scope of the statute(s). Your client understands that federal law, specifically 18 U.S.C. § 3742, affords defendants the right to appeal their sentences in certain circumstances. Your client also agrees to waive the right to appeal the sentence in this case, including but not limited to any term of imprisonment, fine, forfeiture, award of restitution, term or condition of supervised release, authority of the Court to set conditions of release, and the manner in which the sentence was determined, except to the extent the Court sentences your client above the statutory maximum or guidelines range determined by the Court. In agreeing to this waiver, your client is aware that your client's sentence has yet to be determined by the Court. Realizing the uncertainty in estimating what sentence the Court ultimately will impose, your client knowingly and willingly waives your client's right to appeal the sentence, to the extent noted above, in exchange for the concessions made by the Government in this

(ECF 38 at 7.)

Further, the parties agreed that "a sentence within the Estimated Guidelines Range would constitute a reasonable sentence in light of all of the factors set forth in 18 U.S.C. § 3553(a), should such a sentence be subject to appellate review notwithstanding the appeal waiver" (ECF 38 at 4).

Shroyer signed the plea agreement on June 21, 2023, affirming that he had "read every page of this Agreement," "discussed it with [his] attorney," "fully underst[ood]" the agreement, and "agree[d] to it without reservation . . . voluntarily and of [his] own free will, intending to be legally bound" (ECF 38 at 11).

The district court conducted a plea colloquy. The court determined that Shroyer was competent to enter a plea and was doing so freely and voluntarily (Plea Hr'g Tr. at 6:16-19, 27:1-10).<sup>2</sup> The court verified that

<sup>&</sup>lt;sup>2</sup> We have attached the relevant transcripts of these proceedings as exhibits to this motion.

Shroyer had the opportunity to review the plea agreement with his lawyer and was satisfied with his legal representation (id. at 25:9-15). The Court also reviewed the plea agreement's terms with Shroyer, including the appeal waiver (id. at 17:6-25:13). Shroyer confirmed that by pleading guilty, he was agreeing to "giv[e] up [his] right to appeal the sentence," except to the extent the sentence is "above the statutory maximum . . . or the guidelines range that [the district judge] determine[s]," or in the event that Shroyer "assert[s] that [he] received ineffective assistance of counsel" (id. at 24:5-13). Shroyer further confirmed he understood that his "right to appeal would be limited to those issues" (id. at 24:11-13). The court confirmed that Shroyer understood the sentencing consequences of his plea (id. at 19:6-23:18). Based upon the inquiry, the court accepted Shroyer's guilty plea (id. at 27:1-10).

### C. The District Court Imposed a Within-Guidelines Sentence of 60 Days' Incarceration.

Both parties filed sentencing memoranda prior to sentencing. The government recommended a sentence of 120 days' incarceration—close to

conduct (id. at 18-21, 23-24).

the middle of the Guidelines range (ECF 46 at 1).3 In support of this recommendation, the government noted that Shroyer had violated his deferred-prosecution agreement through his conduct on January 6; "stoked the fire of hundreds of thousands of his followers with violent rhetoric and disinformation about the election leading up to January 6 and during a march he helped lead to the restricted grounds"; and "praised the actions of the rioters at the Capitol after January 6 on his online streaming show" (id. at 2). Invoking the sentencing factors in 18 U.S.C. § 3553(a), the government argued that Shroyer's actions and statements informed the nature and circumstances of the offense, the need for adequate punishment to reflect the seriousness of the offense, and the importance of deterring Shroyer from engaging in similar future

Shroyer requested a sentence of supervised release, or alternatively, a fine (ECF 48). He objected to the government's "attempt to use Mr. Shroyer's speech as relevant offense conduct" (ECF 49 at 5).

<sup>&</sup>lt;sup>3</sup> In addition to 120 days' incarceration, the government recommended 12 months of supervised release, 60 hours of community service, and \$500 in restitution (ECF 46 at 1).

In his view, reliance on his speech would violate his First Amendment rights (see generally id.).

The district court sentenced Shroyer on September 12, 2023. The determined—and the parties agreed—that the applicable Sentencing Guidelines range was zero to six months of imprisonment (Sentc'g Hr'g Tr. at 7:10-16). Before imposing the sentence, the court addressed Shroyer's First Amendment concerns. Specifically, the court gave "little to no weight" to Shroyer's statements before January 6, and emphasized that Shroyer had the right to say things like "the election was stolen" (id. at 13:1-9). In contrast, the court viewed as "fair game" those statements Shroyer made while committing the offense, such as statements "amping up the crowd while on the steps of the Capitol" (id. at 12:17-13:1). Moreover, the court concluded that Shroyer's statements after January 6 demonstrated a lack of complete remorse (id. at 44:11-20).4

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<sup>&</sup>lt;sup>4</sup> As to Shroyer's lack of remorse, the district court relied on the entire record "including some of the statements the Government has brought to my attention" (Sentc'g Hr'g Tr. at 44:14-16). Those statements consisted of Shroyer's remarks like, "January 6 got a little out of control," but "frankly, at a certain level, we should have been proud of it" (ECF 46 at 14). Shroyer also asserted that the FBI should be investigated for their (continued . . . )

The district court sentenced Shroyer to 60 days of imprisonment, 12 months of supervised release, and \$500 in restitution (ECF 50). The court articulated "the three things" driving its decision: (1) Shroyer's violation of his deferred-prosecution agreement; (2) Shroyer's "role in amping up the crowd on the Capitol steps by leading chants that day"; and (3) Shroyer's refusal to truly disavow the events of January 6 (Sentc'g Hr'g Tr. at 44:2-22).

#### The District Court's Denial of Shroyer's Motion for D. Release Pending Appeal.

Despite his appeal waiver, Shroyer noticed an appeal on September 19, 2023, which was docketed in this Court on September 21, 2023. Shroyer also moved in the district court for release pending appeal, arguing that his appeal would raise a substantial question as to whether the court impermissibly relied on his protected speech in sentencing him to 60 days of imprisonment (ECF 52). The government opposed on the ground that Shroyer's appeal was foreclosed by the appellate waiver in his plea agreement, and in any event, Shroyer had not raised a

role on January 6, and he claimed that "Democrats stood down security intentionally" (id. at 15).

substantial question as to whether his First Amendment rights were violated (ECF 55).

On October 13, 2023, the district court denied Shroyer's motion (ECF 58). The court did not address whether the plea agreement precluded Shroyer's challenge to his sentence (id. at 6). Instead, the court denied Shroyer's request for release pending appeal because he had not shown that his appeal would raise a substantial legal question entitling him to relief (id. at 7-11). Consistent with its statements at sentencing, the court explained it had "relied on two aspects of Shroyer's speechrelated conduct to determine his sentence" (id. at 4). First, Shroyer "play[ed] a role in amping up the crowd on the Capitol steps that day" (id., quoting Sente'g Hr'g Tr. at 43). This conduct was significant because of its context, not its content—Shroyer "exacerbated a highly treacherous situation for Capitol police officers, Congress, and the peaceful transfer of presidential power" (id.). Second, Shroyer "publicly expressed pride well after January 6 about what happened at the Capitol that day" (id.). This showed that he "was not fully remorseful" (id.). In contrast, the court had "assigned no weight to Shroyer's statements before January 6" because, in the court's view, "these statements added little if anything to

the nature and circumstances of the offense to which he pleaded guilty" (*id.*). Thus, the court considered Shroyer's speech-related conduct because it was directly tied to § 3553(a) sentencing factors that the court had a duty to consider (*see id.* at 9-10).<sup>5</sup>

Shroyer now moves in this Court for release pending appeal. He requests emergency consideration (at 1) because he is scheduled to report to the Bureau of Prisons to serve his 60-day sentence of incarceration on October 24, 2023.

#### ARGUMENT

# I. The Court Should Enforce the Plea Agreement and Dismiss Shroyer's Appeal.

This Court will "ordinarily dismiss an appeal" when a defendant, such as Shroyer, attempts to appeal a sentence in the face of an appeal waiver. *United States v. Hunt*, 843 F.3d 1022, 1027 (D.C. Cir. 2016). "A defendant may waive his right to appeal his sentence as long as his

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<sup>&</sup>lt;sup>5</sup> Moreover, the court noted that it "would have sentenced Shroyer to a term of imprisonment even if it could not consider any of his speech-related conduct, largely because in committing his offense on January 6, he violated his deferred prosecution agreement, which underscored the need to deter him from engaging in additional criminal conduct" (ECF 58 at 6 n.2). Thus, even if Shroyer were to succeed in his appeal, he would not be eligible for release on the ground that the appeal would likely result in a "sentence that does not include a term of imprisonment" (*id.*).

decision is knowing, intelligent, and voluntary." *United States v. Guillen*, 561 F.3d 527, 529 (D.C. Cir. 2009). "An anticipatory waiver—that is, one made before the defendant knows what the sentence will be—is nonetheless a knowing waiver if the defendant is aware of and understands the risks involved in his decision." *Id.* Whether a defendant waived his right to appeal his sentence is a question that the Court reviews de novo. *Hunt*, 843 F.3d at 1027.

Here, Shroyer does not dispute that he knowingly, intelligently, and voluntarily waived his right to appeal his sentence. Nor does he claim that his sentence falls within one of the appeal waiver's specified exceptions. Indeed, the record would not support any such arguments. Unequivocally, Shroyer knew that he was waiving his ability to appeal his sentence (*see*, *e.g.*, Plea Hr'g Tr. at 24:5-13).

Shroyer now claims, however, that the district court's "use of protected speech as relevant offense conduct is reviewable notwithstanding an appellate waiver" because "[i]t is never permissible to burden or chill protected speech in the context of a criminal sentencing" (Motion at 3). According to Shroyer, the district court's "reliance on protected speech" at sentencing "is the sort of structural

error that permits an appeal even though the sentence is less than the maximum contemplated by an appellate waiver" (*id.* at 2). This Court should reject Shroyer's effort to subvert the plea agreement.

A "waiver of the right to appeal a sentence is presumptively valid and is enforceable if the defendant's decision to waive is knowing, intelligent, and voluntary." United States v. Lee, 888 F.3d 503, 506 (D.C. Cir. 2018) (quoting In re Sealed Case, 702 F.3d 59, 63 (D.C. Cir. 2012)). Shroyer's claim of substantive unreasonableness does not negate the appellate waiver. Such waivers apply equally to procedural and substantive challenges to a sentence. See United States v. Ortega-Hernandez, 804 F.3d 447, 451-52 (D.C. Cir. 2015) (enforcing appellate waiver in plea agreement where defendant argued his sentence was procedurally and substantively unreasonable). "If an appeal waiver were not enforced in the 'mine run of cases,' the government would cease to rely on it and the waiver would lose its value as a bargaining chip for the defendant." Khadr v. United States, 67 F.4th 413, 421 (D.C. Cir. 2023) (citing *United States v. Adams*, 780 F.3d 1182, 1184 (D.C. Cir. 2015)).

Shroyer cites no authority for his assertion that "the use of protected speech as relevant offense conduct is reviewable

notwithstanding an appellate waiver" (Motion at 3). Although this Court has recognized that it may disregard an appellate waiver to avoid a "miscarriage of justice," such as "a sentence colorably alleged to rest upon a constitutionally impermissible factor, such as the defendant's race or religion," Guillen, 561 F.3d at 531 (emphasis added), Shroyer has not "colorably alleged" that his sentence "rest[s] upon a constitutionally impermissible factor" such that his appellate waiver may be discarded. See id.

Shroyer's invocation of the First Amendment does not vitiate his appeal waiver. He acknowledges that the Constitution "does not prevent a sentencing court from considering an individual's First Amendment-protected 'beliefs and associations' in fixing a sentence, when those beliefs and associations are relevant to determining an appropriate sentence" (Motion at 3, citing *Dawson v. Delaware*, 503 U.S. 159, 165 (1992)). In particular, a sentencing court may consider a defendant's speech "to evaluate the degree of the defendant's remorse, ... the likelihood of reoffending, ..., or the extent of punishment needed for deterrence[.]" *United States v. Alvarez-Nunez*, 828 F.3d 52, 56 (1st Cir. 2016) (citations omitted); see also, e.g., Kapadia v. Tally, 229 F.3d 641,

648 (7th Cir. 2000) ("Nothing in the Constitution prevents the sentencing court from factoring a defendant's statements into sentencing when those statements are relevant to the crime or to legitimate sentencing considerations."); *United States v. Stewart*, 686 F.3d 156, 167 (2d Cir. 2012) ("The district court's reading of 18 U.S.C. § 3553(a)'s broad constellation of factors to . . . permit[] review of the defendant's public statements indicating that she considered her sentence to be trivial, or exhibiting a lack of remorse, does not violate her right to speak under First Amendment principles as we understand them.").

Here, Shroyer asserts that "mere abstract advocacy of lawlessness is protected speech" and argues that he was improperly punished for this protected speech (Motion at 6). Specifically, he claims that the district court erred when it relied on his speech in two instances—Shroyer's "amping up the crowd on the Capitol steps by leading chants" on January 6, and Shroyer's post-January 6 statements demonstrating a lack of genuine remorse (*id.* at 13-14). These claims fail.

The district court appropriately focused upon Shroyer's statements in the context of the charged offense. The district court explained that Shroyer's amping up the crowd on the Capitol steps informed "the nature

and circumstances of the offense"—a sentencing factor the court was required to consider under 18 U.S.C. § 3553(a)(1) (Sentc'g Hr'g Tr. at 40:8-41:11). In examining the gravity of the offense and Shroyer's particular conduct on January 6, the district court deemed it significant that "Shroyer chanted while he committed the offense, just steps away from several entrances to the Capitol Building, surrounded by a mob that eventually broke into the building, endangered members of Congress, and obstructed their ability to certify the Electoral College vote" (ECF 58 at 8). The district court did not penalize Shroyer for his abstract beliefs; the court instead considered Shroyer's willingness to commit crimes that endangered other people in furtherance of those beliefs. See, e.g., United States v. Brown, 26 F.4th 48, 67 (1st Cir. 2022) (district court could consider beliefs of defendant in tax-fraud case about the illegitimacy of the government; "The problem is that he acts on his beliefs, and, by acting on his beliefs, he put in danger multiple individuals.").

Shroyer's post-January 6 statements showed his failure to express complete remorse for the day's events and the need for future deterrence (Sentc'g Hr'g Tr. at 44:11-22)—also relevant sentencing considerations. See, e.g., United States v. DeChristopher, 695 F.3d 1082, 1098 (10th Cir.

2012) ("Defendant's statements that he would 'continue to fight' and his view that it was 'fine to break the law' were highly relevant to the [] sentencing factors."); United States v. Smith, 424 F.3d 992, 1016-17 (9th Cir. 2005) (no error in considering the defendant's allocution statements, including about the district court's "lack of jurisdiction," because they were relevant to the defendant's remorse and threat to the public on release); United States v. Simkanin, 420 F.3d 397, 417-18 (5th Cir. 2005) (finding no constitutional error where the district court relied on the defendant's "specific beliefs that the tax laws are invalid and do not require him to withhold taxes or file returns . . . [because they] are directly related to the crimes in question and demonstrate a likelihood of recidivism").

Shroyer also complains about other speech on which the district court did *not* rely in sentencing him. In particular, he points to the government's arguments at sentencing regarding Shroyer's pre-January 6 rhetoric, and the inflammatory statements Shroyer made on January 6 before trespassing at the Capitol (*e.g.*, Motion at 1-2, 16). But as the district court made clear, Shroyer's sentence did not rest on any of these statements (Sentc'g Hr'g Tr. at 44:2-22 (identifying "the three things" on

which the sentence rested)). Thus, because the court did not rely on them, these statements cannot support an argument that Shroyer's sentence rested upon a constitutionally impermissible factor.<sup>6</sup> Cf. In re Sealed Case, 527 F.3d 188, 193 (D.C. Cir. 2008) (explaining that appellate review of a sentence hinges on the sentencing court's statement of reasons); *United States v. Abu-Ghosh*, 848 Fed. App'x 437, 438 (D.C. Cir. 2021) ("When a district court offers valid and sufficient grounds for a sentence . . . '[w]e take the district court at its word."' (quoting *United States v*. Monzel, 930 F.3d 470, 485 (D.C. Cir. 2019)).

Finally, Shroyer discusses several cases addressing the circumstances under which speech can satisfy an essential element of a criminal offense or tort claim (Motion at 6-10, 14-20). He asserts that his speech "was not relevant to prove an element of an offense charged" (id. at 1), and does not "pack[] the inculpatory punch of prohibited speech, whether that be incitement, a true threat, or conspiracy to commit another crime" (id. at 17). He essentially claims his speech was entirely immunized from consideration at sentencing (id.). However, Shroyer

<sup>&</sup>lt;sup>6</sup> Though the district court did not rely on these statements in sentencing Shroyer, the government maintains that these statements permissibly informed the required sentencing factors and could have been considered.

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conflates speech that is itself prohibited or inculpatory, which is not at issue here, with a court's reliance on speech to inform the relevant sentencing factors prescribed by Congress. And as discussed, it is well settled that a court may consider speech that informs legitimate sentencing considerations, which is what the district court did here.<sup>7</sup>

In sum, Shroyer has not colorably alleged that his sentence rests upon a constitutionally impermissible factor such that enforcement of the appeal waiver would cause a miscarriage of justice. See Guillen, 561 F.3d at 531. Indeed, if his appeal were allowed to proceed, the appeal waiver would be rendered meaningless because it would allow defendants like Shroyer to "dress up [their] claim[s] as a violation of the [First] Amendment, when in reality, [they are] challenging the [length] of [their] sentence[s]." See United States v. Dielevic, 161 F.3d 104, 107 (2d Cir. 1998).

<sup>&</sup>lt;sup>7</sup> Shroyer also argues that the court's consideration of his speech is similar to the use of acquitted conduct at sentencing (Motion at 20-21). Shroyer does not explain how the two situations are analogous (see id.). Regardless, a sentencing judge in this Circuit may consider acquitted conduct. United States v. Settles, 530 F.3d 920, 923-24 (D.C. Cir. 2008); United States v. Khatallah, 41 F.4th 608, 651 (D.C. Cir. 2022) (Millett, J., concurring) (recognizing that Settles remains good law). Moreover, Shroyer's speech on January 5 and 6 is expressly part of the statement of offense that formed the basis for his guilty plea (ECF 39).

Appeal waivers contained in plea agreements serve important interests of the defendant and the government. Hunt, 843 F.3d at 1027. Shroyer has received the benefit of the government's promised recommendations. His reciprocal obligation to waive his right to appeal should be enforced. See Guillen, 561 F.3d at 532 (dismissing appeal challenging sentence based on valid appeal waiver); United States v. Zapata Espinoza, 830 Fed. App'x 324, 327 (D.C. Cir. 2020) (applying appeal waiver where the defendant failed to show that its enforcement would amount to a miscarriage of justice); United States v. Buchanan, 131 F.3d 1005, 1008 (11th Cir. 1997) ("Requiring the government to file an appeal brief even though there is an appeal waiver substantially diminishes the value of the waiver to the government, and by extension to defendants . . . . ").

# II. Shroyer Has Not Met the Standard for Release Pending Appeal.

Even if the Court were inclined to look past the appellate waiver at this stage, it should deny Shroyer's motion for release pending appeal. A defendant who has been found guilty and sentenced to a term of imprisonment "shall" be detained pending appeal unless the court finds that (A) the defendant is not likely to flee or pose a danger to others, and

(B) the appeal is not for the purpose of delay "and raises a substantial question of law or fact likely to result in—(i) reversal, (ii) an order for a new trial, (iii) a sentence that does not include a term of imprisonment, or (iv) a reduced sentence to a term of imprisonment less than the total of the time already served plus the expected duration of the appeal process." 18 U.S.C. § 3143(b)(1). A "substantial question" is a "close question or one that very well could be decided the other way." *United States v. Perholtz*, 836 F.2d 554, 555 (D.C. Cir. 1987) (internal quotation omitted).

An application for release pending appeal must be first made in the district court, and the district court's decision is entitled to "respectful consideration." *United States v. Stanley*, 469 F.2d 576, 584 (D.C. Cir. 1972). Here, the district court correctly concluded that Shroyer must be detained pending appeal because he fails to present "a substantial question of law or fact likely to result in" a reduced sentence or a sentence that does not include a term of imprisonment. § 3143(b)(1)(B).

As discussed, Shroyer's First Amendment challenge to his sentence raises no "substantial question of law or fact" likely to result in a reduced sentence or a sentence of no imprisonment. The court properly rested

Shroyer's sentence not on his speech or beliefs in the abstract, but rather on the statutory sentencing factors, including the nature and circumstances of Shroyer's offense, the need to deter future criminal conduct by Shroyer, and his lack of genuine remorse (Sentc'g Hr'g Tr. at 40:8-44:22). The district court considered Shroyer's speech during and after the offense, *id.*, and appropriately explained how that speech was "relevant to determining an appropriate sentence," *United States v. Williamson*, 903 F.3d 124, 136 (D.C. Cir. 2018).

Shroyer cannot otherwise show that his sentence was substantively unreasonable. His sentence is not "so unreasonably high" given "the facts and circumstances of the offense and offender" that it warrants reversal. United States v. Gardellini, 545 F.3d 1089, 1093 (D.C. Cir. 2008). Shroyer's sentence of 60 days' incarceration is below the midpoint of the applicable Guidelines range of zero to six month of imprisonment (Sentc'g Hr'g Tr. at 7:10-16). Indeed, in his plea agreement, Shroyer agreed that a sentence within the Guidelines range of zero to six months would be reasonable (ECF 38 at 4). Given these facts, Shroyer has not shown a substantial question as to the substantive unreasonableness of his sentence. See United States v. Mattea, 895 F.3d 762, 769 (D.C. Cir. 2018)

(rejecting argument that a within-Guidelines sentence was substantively unreasonable, "especially given that [the defendant] himself conceded in his plea agreement that any within-Guidelines sentence would be 'reasonable'").

For these reasons, Shroyer has failed to rebut the presumption of detention at this stage. See 18 U.S.C. § 3143(b)(1). His motion for release pending appeal should be denied.

### CONCLUSION

WHEREFORE, the government respectfully requests that the Court enforce the plea agreement and dismiss Shroyer's appeal. In the alternative, the government requests that the Court deny Shroyer's motion for release pending appeal.

Respectfully submitted,

MATTHEW M. GRAVES United States Attorney

CHRISELLEN R. KOLB Assistant United States Attorney

Filed: 10/23/2023

/s/

MARY C. FLEMING D.C. Bar # 1048019 Assistant United States Attorney 601 D Street, NW, Room 6.232 Washington, D.C. 20530 Mary.Fleming@usdoj.gov (202) 252-6829

### CERTIFICATE OF COMPLIANCE WITH RULE 27(d)

I HEREBY CERTIFY pursuant to Fed. R. App. P. 32(g) that this combined motion and response contains 5,027 words, and therefore complies with the type-volume limitation of Fed. R. App. P. 27(d)(2)(A). This motion and response has been prepared in 14-point Century Schoolbook, a proportionally spaced typeface.

/s/
MARY C. FLEMING
Assistant United States Attorney

Filed: 10/23/2023

### CERTIFICATE OF SERVICE

I HEREBY CERTIFY that I have caused a copy of the foregoing combined motion and response to be served by electronic means, through the Court's CM/ECF system, upon counsel for appellant, Norman Pattis, Esq., on this 23rd day of October, 2023.

/s/
MARY C. FLEMING
Assistant United States Attorney

# **EXHIBITS**

## **EXHIBITS**

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06/23/23	Transcript	of Plea Agree	ment Hearing	gExhibit 1
09/12/23	Transcript	of Sentencing	Hearing	Exhibit 2

# **EXHIBIT 1**

#### IN THE UNITED STATES DISTRICT COURT

FOR THE DISTRICT OF COLUMBIA

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UNITED STATES OF AMERICA CR No. 1:21-cr-00542-TJK-1

v. Washington, D.C.

Friday, June 23, 2023

JONATHON OWEN SHROYER, 10:00 a.m.

Defendant.

TRANSCRIPT OF PLEA AGREEMENT HEARING
HELD BEFORE THE HONORABLE TIMOTHY J. KELLY
UNITED STATES DISTRICT JUDGE

#### APPEARANCES:

For the United States: Kimberly L. Paschall, Esq.

Troy A. Edwards, Jr., Esq. U.S. ATTORNEY'S OFFICE 555 Fourth Street, NW Washington, DC 20530

(202) 252-2650

For the Defendant: Norman A. Pattis, Esq.

PATTIS & SMITH, LLC 383 Orange Street

1st Floor

New Haven, CT 06511

(203) 393-3017

Court Reporter: Timothy R. Miller, RPR, CRR, NJ-CCR

Official Court Reporter U.S. Courthouse, Room 6722 333 Constitution Avenue, NW

Washington, DC 20001

(202) 354-3111

Proceedings recorded by machine shorthand; transcript produced by computer-aided transcription.

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### 1 PROCEEDINGS THE DEPUTY CLERK: This is Criminal Matter 21-542, 2 United States of America v. Jonathon Owen Shroyer. 3 4 Present for the Government are Troy Edwards and 5 Kimberly Paschall; present for the defendant is Norman Pattis; also present is the defendant, Mr. Shroyer. 6 THE COURT: All right. Well, good morning to 7 8 everyone. 9 We are here -- Mr. Shroyer is here today to enter 10 a plea of guilty to Count 1 of the information charging him 11 with entering and remaining in a restricted building or 12 grounds, in violation of 18 United States Code Section 13 1752(a)(1). 14 Mr. Pattis, do I have all of that correct, sir? 15 MR. PATTIS: You do, Judge. 16 THE COURT: All right. If you and your client 17 will approach the podium, Ms. Harris will administer the 18 oath to Mr. Shroyer. 19 THE DEPUTY CLERK: Can you please raise your right 20 hand. 21 Do you solemnly swear or affirm that you will well 22 and truly answer all questions propounded to you by the 23 Court? 24 THE DEFENDANT: Yes.

THE DEPUTY CLERK: Thank you.

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THE COURT: All right. Mr. Shroyer, sir, do you understand you're now under oath -- if you'll approach the podium a little closer -- no, just right here. Yeah, we have a microphone here that we need to speak into. Perfect.

Do you understand, sir, that you are now under oath and that if you don't answer my questions truthfully, you could be prosecuted for perjury or for making a false statement?

THE DEFENDANT: Yes, Your Honor.

THE COURT: Okay. Now, the purpose of our hearing is for you to enter a plea of guilty to a criminal charge against you. This is, obviously, an important decision for you, whether to go to trial or to plead guilty. And so it's an important hearing for all the reasons I'm about to explain.

It's important because in order for me to accept your guilty plea, I need to be satisfied that you are capable of understanding all the implications that come with pleading guilty and that you are entering your guilty plea voluntarily; that is, of your own free will.

It's also important because whenever anyone chooses to plead guilty, they give up many of their constitutional rights, and I want to make sure you understand the rights you are giving up by deciding to plead guilty today.

1 And last, this important -- this hearing is 2 important because before you plead guilty, I want to make 3 sure you understand the charges against you, the plea 4 agreement that your lawyer has negotiated with the 5 Government, and exactly how sentencing will work. So if, at any point during today's hearing, there's something you 6 don't understand, I want you to let me know and I'll try to 7 explain it better, and if that doesn't work we can even take 8 9 a break and you can talk to Mr. Pattis about it. 10 Anything about that you don't understand, sir? 11 THE DEFENDANT: No, Your Honor. 12 THE COURT: All right. 13 MR. PATTIS: (Indicating.) 14 THE COURT: Mr. Pattis, yes? 15 MR. PATTIS: Mr. Edwards and I have engaged in 16 extensive negotiations about this. At some point, Judge, I 17 would like permission to do a brief canvass of Mr. Shroyer 18 myself during your canvass to assure the Government that 19 this is a truthful plea. The context will be clear with the 20 questioning. It would be four or five questions. I have 21 discussed them with Mr. Edwards. With your permission, at 22 some point, I'd like to do that. 23 THE COURT: When we get to the factual basis. 24 MR. PATTIS: Yes, sir. 25 THE COURT: All right. Very well.

1 All right. Mr. Shroyer, let me start with a few 2 questions, sir. 3 First, what is your full name? THE DEFENDANT: Jonathon Owen Shroyer. 4 5 THE COURT: All right. And how old are you, sir? THE DEFENDANT: Thirty-three. 6 7 THE COURT: How far did you go in school? THE DEFENDANT: I graduated with a bachelor's 8 9 degree. 10 THE COURT: Okay. Then I presume you can read and write. 11 12 THE DEFENDANT: Yes, sir. 13 THE COURT: All right. And where were you born? 14 THE DEFENDANT: St. Louis, Missouri. 15 THE COURT: And are you a U.S. citizen today? 16 THE DEFENDANT: Yes, sir. 17 THE COURT: Okay. You've told me that you are a 18 U.S. citizen. Do you understand that if you were not a U.S. 19 citizen -- if you were not -- your immigration status could 20 be affected by your guilty plea, including causing your 21 deportation, exclusion from the United States, or denial of 22 citizenship under our immigration laws? Do you understand 23 that, sir? 24 THE DEFENDANT: Yes, Your Honor. 25 THE COURT: Okay. Have you taken any alcohol,

1 drugs, or medicine in the last 48 hours that could affect your ability to understand and follow our proceedings today? 2 3 THE DEFENDANT: No, Your Honor. THE COURT: And have you received any treatment 4 5 recently for any type of mental illness or emotional disturbance? 6 7 THE DEFENDANT: No, Your Honor. THE COURT: And let me ask both the prosecutor and 8 9 defense counsel. Have I -- do either of you have any reason 10 to question Mr. Shroyer's competence to plead guilty here 11 today? 12 Mr. Pattis? 13 MR. PATTIS: I do not. 14 MR. EDWARDS: No, Your Honor. 15 THE COURT: Mr. Edwards? Thank you. 16 All right. So based on Mr. Shroyer's responses to 17 my questions, my observations of him, and the 18 representations of counsel, I do find that he's competent 19 and capable of entering an informed plea. 20 Now, Mr. Shroyer, let's talk a little bit about 21 those constitutional rights we mentioned just a moment ago. 22 Again, please listen carefully to my questions. Let me know 23 if there's anything you don't understand. The first document we'll talk about here today is 24 25 a document entitled -- titled waiver of trial by jury.

1 appears to have your signature on it. Is that your signature on this document, sir? 2 3 THE DEFENDANT: Yes, Your Honor. THE COURT: Okay. Is it your intention to give up 4 5 your jury trial right? THE DEFENDANT: Yes, Your Honor. 6 THE COURT: All right. Let's discuss those jury 7 trial rights for a moment. 8 9 You have the right to plead not guilty and to have 10 a jury trial in this case. Do you understand that you have 11 the right to plead not guilty? 12 THE DEFENDANT: Yes, Your Honor. 13 THE COURT: And if you were to plead not guilty, 14 you would have a jury trial in which your quilt or innocence 15 would be determined by a jury based on evidence presented in 16 this courtroom. Do you understand that, sir? 17 THE DEFENDANT: Yes, Your Honor. THE COURT: All right. And do you understand that 18 19 if you had a trial, you would have a right to be represented 20 by your lawyer at that trial and at every other stage in the 21 proceeding? 22 THE DEFENDANT: Yes, Your Honor. 23 THE COURT: Do you understand that that lawyer could make motions on your behalf, including motions to 24 25 exclude evidence or statements from the trial?

1 THE DEFENDANT: Yes, Your Honor. 2 THE COURT: Do you understand that at trial, you 3 would have the right, through your lawyer, to confront and cross-examine any witnesses against you? 4 5 THE DEFENDANT: Yes, Your Honor. THE COURT: Do you understand that at a trial, you 6 7 would have the right to present your own witnesses and that you would have a right to require or to compel those 8 9 witnesses to testify in your defense? 10 THE DEFENDANT: Yes, Your Honor. 11 THE COURT: Do you understand that if there were a 12 trial, you would have the right to testify and to present 13 evidence on your behalf, if you wanted to, but that no one 14 could force you to testify or present any evidence if you did not want to because you have the right to remain silent 15 at your trial? 16 17 THE DEFENDANT: Yes, Your Honor. 18 THE COURT: And if you were to make a decision not 19 to testify at trial, you could request that the jury be told 20 that that could not be held against you. Do you understand 21 that, as well? 22 THE DEFENDANT: Yes, Your Honor. 23 THE COURT: Do you understand that unless and 24 until I accept your guilty plea, you are, of course, 25 presumed by the law to be innocent because it's the

1 Government's burden to prove your guilt beyond a reasonable doubt and until it does you cannot be convicted at trial? 2 3 THE DEFENDANT: Yes, Your Honor. THE COURT: Okay. Do you understand if -- that if 4 5 you went to trial and were convicted, you would have a right to appeal and to a lawyer help -- to help you prepare that 6 7 appeal? THE DEFENDANT: Yes, Your Honor. 8 9 THE COURT: And do you understand that by pleading 10 guilty, you are giving up all the rights I just explained to 11 you with a few exceptions as to your appeal rights that we 12 will go over because there will be no trial? 13 THE DEFENDANT: Yes, Your Honor. 14 THE COURT: Having discussed these rights with you, do you still want to plead guilty in this case and to 15 16 give up those rights? 17 THE DEFENDANT: Yes, Your Honor. THE COURT: Okay. Now, the second document we 18 19 will discuss, Mr. Shroyer, is called a statement of offense. 20 Again, it's a -- well, an eight-page document. And, again, 21 the last page of that document appears to have your 22 signature on it. It's a document that lays out what the 23 Government would be -- would have been prepared to prove had 24 this case gone to trial. Let me just ask first.

your signature on the last page of this document, sir?

1 THE DEFENDANT: Yes, Your Honor. 2 THE COURT: Okay. And have you read this document 3 and discussed it fully with your attorney? 4 THE DEFENDANT: I have, Your Honor. 5 THE COURT: Okay. And is everything in the 6 document true and accurate? 7 THE DEFENDANT: Yes, Your Honor. THE COURT: And is it true, then, that you 8 9 knowingly entered and remained on the U.S. Capitol's 10 restricted grounds without the authority to do so, as the document describes? 11 THE DEFENDANT: Yes, Your Honor. 12 13 THE COURT: All right. Mr. Pattis, if you have 14 additional questions of your client that you've discussed 15 with the Government, I'll recognize you to ask them now. 16 MR. PATTIS: Mr. Shroyer, the judge just showed 17 you a document that described the offense conduct here; 18 correct? 19 THE DEFENDANT: Yes. 20 MR. PATTIS: And you've had an opportunity to 21 discuss it with me on numerous occasions? 22 THE DEFENDANT: Yes. 23 MR. PATTIS: We met last night to discuss it? THE DEFENDANT: Correct. 24 25 MR. PATTIS: We discussed it again this morning?

1 THE DEFENDANT: Yes. 2 MR. PATTIS: I've brought to your attention 3 certain concerns the Government has about statements you've made in public places regarding this case; correct? 4 5 THE DEFENDANT: Yes. MR. PATTIS: And in some of those statements, 6 you've referred to the fact that you believe you -- you 7 asserted your innocence; correct? 8 9 THE DEFENDANT: Yes. 10 MR. PATTIS: But yet in this document, you're asserting that these offenses are true; correct? 11 12 THE DEFENDANT: Yes. 13 MR. PATTIS: And I've explained to you that there 14 is no such thing -- well, that this Court -- the 15 Government -- neither the Government nor this Court will 16 accept what's known as an Alfred plea, a plea that permits 17 you to hedge your bets and say, "Well, you know, kind of, 18 but I want to get this over." You understand that; correct? 19 THE DEFENDANT: Yes. 20 MR. PATTIS: By taking the oath and answering the 21 questions that the judge gave you, are you swearing to the 22 truth of each and every statement that we reviewed -- or fact that we reviewed in that document? 23 24 THE DEFENDANT: Yes. 25 MR. PATTIS: Notwithstanding anything you've said

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       in any other location?
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                 THE DEFENDANT: No.
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                 MR. PATTIS: Well, that's a double negative.
                 If, on a prior occasion, you asserted your
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       innocence when you were not under oath but you are here
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       today asserting your guilt while you are under oath, are you
       telling the truth today?
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                 THE DEFENDANT: Yes.
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                 THE COURT: All right. Fair --
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                 MR. PATTIS: May I speak with Mr. Edwards for a
       moment, Judge? This was a --
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                 THE COURT: Sure.
                 MR. PATTIS: -- hard bargain --
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                 THE COURT: Sure. I understand.
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                 MR. PATTIS: There's one additional issue, Judge.
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                 THE COURT: I may know what you're going to say,
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       but go ahead.
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                 MR. PATTIS: We'd like to withdraw the motion to
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       dismiss --
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                 THE COURT: Oh. That --
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                 MR. PATTIS: -- and --
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                 THE COURT: Go ahead.
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                 MR. PATTIS: -- I wanted to alert something -- you
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       to something you may have seen.
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                 There's a paragraph in the motion to dismiss --
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       there is an affidavit attached to the -- some of the
       pleadings in this case from you; correct? And we've
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       discussed that? An affidavit that you've signed.
                 THE DEFENDANT: Yes.
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                 MR. PATTIS: And in that affidavit, you assert
       seeing no signs alerting you that you could not be present
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       on the Capitol grounds that day; correct?
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                 THE DEFENDANT: Yes.
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                 MR. PATTIS: But nonetheless, you were aware,
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       based on the circumstances and the surrounding environment
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       and what you observed, that you were not permitted to be on
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       the grounds?
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                 THE DEFENDANT: Yes.
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                 MR. PATTIS: And yet you went to -- onto the
       grounds; correct?
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                 THE DEFENDANT: Yes.
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                 MR. PATTIS: And remained on the grounds?
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                 THE DEFENDANT: Yes.
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                 THE COURT: All right. The issue I was going to
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       raise -- a far more -- a less weighty point, but one I'll
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       just mention nonetheless -- the statement of offense, I
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       noticed as I was reviewing it, refers in the very first
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       paragraph to a different defendant. It actually has just
       another name. It says, for example -- it says "Pursuant to
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       Rule 11," blah, blah -- "the United States, through its
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       attorney, and the defendant, " and names another individual.
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       Obviously, I don't -- that's not -- neither here nor there,
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       given that the defendant in this case has signed this
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       document under his own name, Jonathon Owen Shroyer, and the
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       fact that he's, in open court here, indicated that it's true
       and accurate. I assume that's just a typo, but I just
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       wanted to --
                 MR. PATTIS: I apologize, Judge. In getting to
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       the meat of things, I just went right by that.
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                 THE COURT: It's, you know -- if anything, it's --
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       I -- it's a document, I assume, prepared more by the
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       Government than the defense, but in any event I just --
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                 MR. PATTIS: Nonetheless, I signed it.
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                 THE COURT: Fair enough.
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                 MR. EDWARDS: We'll also apologize, Your Honor.
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       It's admittedly a scrivener's error. I hate to admit this
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       on the record. I've dealt with this before. And so what
       we've done in the past -- if Your Honor is more comfortable
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       with this, happy to scratch that out, write his name, and
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       initial it, or if we just want it on the record now that
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       you've stated it, I'm -- the Government's fine with either
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       way.
                 THE COURT: I don't think it's --
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                 MR. EDWARDS: Okay.
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                 THE COURT: -- necessary to do that.
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1 MR. EDWARDS: Right. THE COURT: He's signed it. He's acknowledged it 2 3 here. It's -- obviously, it is a scrivener's error. All right. Very well. You can remain --4 5 Mr. Shroyer and Mr. Pattis, you can remain where you are while I ask Mr. Edwards to state the elements of the 6 7 charges -- of the charge to which Mr. Shroyer is pleading guilty. 8 9 MR. EDWARDS: Yes, Your Honor. 10 Should the case have gone to trial, the Government 11 would have to prove beyond a reasonable doubt that the 12 defendant, Jonathon Owen Shroyer, knowingly entered a 13 restricted area and remained there, despite not having lawful authority to do so, and that on January 6th the 14 15 Capitol building and grounds itself were considered 16 restricted grounds under 18 U.S.C. 1752(a)(1) as used in 17 that statute. 18 THE COURT: All right. Mr. Shroyer, do you 19 understand the charge against you, sir? 20 THE DEFENDANT: Yes, Your Honor. 21 THE COURT: And have you read the information that 22 was filed against you -- those are the written charges made 23 against you -- and have you fully discussed those charges and the staircase in general with Mr. Pattis? 24 25 THE DEFENDANT: Yes, Your Honor.

1 THE COURT: All right. And, Mr. Pattis, do you agree the Government could prove all the elements of this 2 3 particular offense beyond a reasonable doubt? 4 MR. PATTIS: Unfortunately, I do. 5 THE COURT: All right. The parties have 6 submitted -- the final document we will discuss here today is a written letter outlining the plea agreement. 7 Before we discuss the terms of the agreement, let 8 9 me ask Mr. Edwards whether this is the most lenient or the 10 only plea offer made to the defendant in this case. 11 MR. EDWARDS: Yes, Your Honor. This is the only 12 offer that's been offered at this point. THE COURT: Okay. Very well. 13 14 So Mr. Shroyer, same thing we've done before. 15 going to show you Page 11 of this document. Again, it also 16 appears to have your signature on it. Is that your 17 signature, sir? 18 THE DEFENDANT: Yes, Your Honor. 19 THE COURT: Okay. Do -- did you read and 20 understand this document before signing it? 21 THE DEFENDANT: Yes, Your Honor. 22 THE COURT: And have you had enough time to talk 23 to Mr. Pattis about it? THE DEFENDANT: I have, Your Honor. 24 25 THE COURT: Okay. We're going to discuss the

THE DEFENDANT: Yes.

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terms of this plea agreement now. We may not discuss every single thing that's in this document. But you understand that even if we don't discuss it here today, it's something you've agreed to be bound by? Do you understand that?

THE COURT: Okay. Mr. Pattis, will you briefly give us a, sort of, high-level overview of the terms of the agreement.

MR. PATTIS: Mr. Shroyer is waiving the rights that the Court previously discussed. And in exchange, he submits that -- he agrees with the initial assessment that it is a -- he's in a Criminal History II largely by operation of being under supervision for a case that was pending at the time of these events in the Superior Court. He's entering the "entering and remaining" count. His -- I believe -- I don't -- I didn't memorize the numbers, but I think the guidelines number comes to about six or the range is zero to six months. He understands further that he will have to submit to a presentence investigation and give truthful testimony and -- or not testimony -- information to the Court. He understands further that the guideline range is something the Court is obliged to consider but isn't bound by; that the plea remains in effect even if the Court disagrees with that number. He understands further that he's waiving certain, but not each and every, right to

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       appeal, depending on what happens at sentencing. And there
       is a -- I believe there's -- it also calls for a $500 fine,
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       and we've discussed that.
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                 THE COURT: Restitution.
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                              The -- excuse me. I'm sorry. He
                 MR. PATTIS:
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       understands that that's not a number that the Court is
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       necessarily bound by -- as with everything else, it could be
       less; it could be more -- and that if the Court reaches
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       conclusions different than our estimate, that is not a
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       condition sufficient for him to withdraw the plea.
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                 THE COURT: All right. And I'll just -- we're
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       going to go over this. I'll just also add, and as part of
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       the agreement, the Government is moving to dismiss his
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       Superior Court case --
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                 MR. PATTIS: Yes, I should -- that was --
                 THE COURT: -- which --
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                 MR. PATTIS: -- would -- went without saying.
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       apologize.
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                 THE COURT: All right. So I do agree that this --
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       and accept that this plea agreement is of the type
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       authorized by Federal Rule of Criminal Procedure 11(c)(1)(A)
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       insofar as it specifies that the Government will not bring
       other charges for the conduct set forth in the factual basis
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       for plea, will dismiss the remaining counts of the
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       information at sentencing, and will dismiss the -- another
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1 case against Mr. Shroyer that is currently pending in D.C. Superior Court at the time of sentencing. 2 3 So Mr. Shroyer, let's talk about some of the provisions in the agreement, and we'll start with the 4 5 potential sentence that you are exposed to. First, do you understand that if I accept your 6 quilty plea in this case, you could receive a maximum 7 8 sentence -- maximum sentence -- of one year imprisonment, a 9 term of supervised release of not more than one year, a fine 10 of not more than \$10,000, and an obligation to pay any 11 applicable interest or penalties on fines and restitution 12 not timely made? Do you understand all of that, sir? 13 THE DEFENDANT: Yes, Your Honor. 14 THE COURT: Okay. "Supervised release" means that 15 if you were sent to prison, then upon your release, you 16 would be under the supervision of the Probation Office and 17 expected to follow conditions and rules with which you would 18 have to comply. If you violated any of those conditions, 19 you could be sent back to prison for an additional period of 20 time. Do you understand that, sir? 21 THE DEFENDANT: Yes, Your Honor. 22 THE COURT: All right. Do you understand that 23 you'll have to pay what's known as a special assessment of \$25 to the Clerk of the Court? 24 25 THE DEFENDANT: Understood, Your Honor.

1 THE COURT: All right. And do you understand that as part of the plea in this matter, you have agreed to pay 2 3 restitution to the Department of the Treasury in an amount 4 of \$500? 5 THE DEFENDANT: Yes, Your Honor. THE COURT: Do you understand that? 6 7 All right. So the -- next, we will talk about the guidelines. 8 9 Have you and your attorney talked about the 10 advisory sentencing guidelines and how they apply to your 11 case? That's the zero to six he mentioned a moment ago. 12 THE DEFENDANT: Yes, Your Honor. 13 THE COURT: Okay. So although the --14 MR. PATTIS: As -- we referred to it as the 15 cookbook. 16 THE COURT: Although the parties have estimated in 17 the plea agreement what they think your guidelines range is, 18 do you understand I'm not able to determine your guidelines 19 sentencing range until after what's known as a presentence 20 report has been completed and after you and your lawyer and 21 the Government, for that matter, have had an opportunity to 22 object to the facts and conclusions of the probation officer 23 that are in that report? Do you understand that, sir? 24 THE DEFENDANT: Understood, Your Honor. 25 THE COURT: Okay. And part of your guidelines

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sentencing range calculation is your offense level. Again, the Government and your attorney have stated in the plea agreement what they estimate your offense level to be. parties have agreed that the base offense level is four, and that by operation of a particular portion of the guidelines, the offense level is increased by two to six; however, the Government agrees that you're entitled to a two-level reduction if you accept responsibility for your actions, adhere to the plea agreement, and display acceptable conduct between now and sentencing. So netting all of that out, your guidelines offense level will be a four. They also estimate that you have two criminal history points which places you in Criminal History Category II for the sentencing quidelines. So the recommended sentencing range for an offense level of four and a criminal history category of II is zero months to six months of imprisonment. Do you understand that? THE DEFENDANT: Yes, Your Honor. THE COURT: Okay. There's a -- the guidelines also apply to fines. So the recommended fine for someone in your -- with a -- for an offense level of four and a criminal history category of II is between \$500 and \$9,500. Do you understand that, as well?

THE DEFENDANT: Yes, Your Honor.

THE COURT: Okay. And do you understand that the

1 advisory guidelines sentence that is eventually calculated 2 may be either more severe or less severe than the parties recommend -- that the parties estimate here today? 3 THE DEFENDANT: Yes, Your Honor. 4 5 THE COURT: Okay. Do you understand -- do you also understand that after I've decided what guideline 6 7 applies to your case and what the advisory guideline range is, that the guidelines are not binding on me and that I 8 9 have the authority, when I consider all the relevant 10 sentencing factors, to impose a sentence that is more severe 11 or less severe than the sentence recommended by the 12 quidelines? 13 THE DEFENDANT: Yes, Your Honor. 14 THE COURT: Except, of course, I can never exceed 15 the statutory maximum of one year for the offense. 16 Do you understand that you cannot withdraw your 17 plea just because the sentence I end up imposing happens to 18 be more severe than the sentence recommended by the 19 guidelines or more severe than the parties may recommend or 20 request or more severe than you expect? 21 THE DEFENDANT: Yes, Your Honor. 22 THE COURT: All right. Mr. Shroyer, let me give 23 you a preview of the factors I will have to take into 24 account in determining your sentence.

In addition to the sentencing guidelines, which we

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have already discussed, I have to consider a whole bunch of other things. Those include the nature and circumstances of the offense; your particular history and characteristics. I have to consider whether the sentence serves the purposes of criminal sentencing; that is, whether it reflects the seriousness of the offense and provides just punishment; whether it deters you and others from engaging in criminal conduct; whether it provides you with helpful treatment and services that might be available. I have to consider the kinds of sentences available; the sentencing guidelines, which we have discussed; and I have to ensure that the sentence I give you is in line with sentences given to similar defendants who have been found guilty of similar I also have to consider the need to provide conduct. restitution to any victims of the offense. Do you understand that I must consider all of

these factors in selecting a sentence for you, sir?

THE DEFENDANT: I do, Your Honor.

THE COURT: All right. Finally, let's return to the plea agreement a final time and talk for a moment about the appeal provisions in the agreement. You are giving up all your rights to appeal, except for a few exceptions.

Do you understand that by pleading guilty, you are giving up all rights to appeal your conviction in this case -- your conviction -- except on the basis of

1 ineffective assistance of counsel or if there was some 2 fundamental defect in our proceedings that was not waived by your guilty plea? Do you understand that? 3 4 THE DEFENDANT: Yes, Your Honor. 5 THE COURT: And do you understand you're also giving up your right to appeal the sentence I impose except 6 7 to the extent I sentence you above the statutory maximum -that would be an illegal sentence -- or the guidelines range 8 9 that I determine or if you assert that you received 10 ineffective assistance of counsel, again, in which case, 11 your right to appeal would be limited to those issues? Do 12 you understand that? 13 THE DEFENDANT: Yes, Your Honor. 14 THE COURT: Okay. And do you also understand that 15 under the plea agreement, you are also giving up the right 16 to what's known as collaterally challenge the conviction or 17 sentence except in some very limited situations to the extent that the challenge is based on newly-discovered 18 19 evidence or, once again, on a claim that you received 20 ineffective assistance of counsel? Do you understand that, 21 sir? 22 THE DEFENDANT: Yes, Your Honor. 23 THE COURT: All right. Mr. Shroyer, that is 24 all -- a summary of the terms of your plea agreement.

Do both counsel agree that I've accurately stated

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       the terms of the agreement?
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                 Mr. Pattis?
                 MR. PATTIS: I do, sir.
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                 THE COURT: Mr. Edwards?
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                 MR. EDWARDS: Yes, Your Honor.
                 THE COURT: All right. Mr. Shroyer, is all of
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       that what you agreed to?
                 THE DEFENDANT: Yes, Your Honor.
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                 THE COURT: And are you completely satisfied with
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       the service of your lawyer in this case?
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                 THE DEFENDANT: Yes, Your Honor.
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                 THE COURT: Have you had enough time to talk with
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       him and discuss the case, the charges, the plea offer, and
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       whether or not you should accept it?
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                 THE DEFENDANT: I have, Your Honor.
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                 THE COURT: All right. Mr. Shroyer, has anyone
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       forced, threatened, or coerced you in any way into pleading
18
       guilty?
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                 THE DEFENDANT: No, Your Honor.
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                 THE COURT: Has anyone made any promises to you in
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       connection with your guilty plea other than those contained
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       in the plea agreement or that we've discussed in open court
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       here?
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                 THE DEFENDANT: No, Your Honor.
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                 THE COURT: Other than, again, what is in the plea
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       agreement letter or what we've stated in open court here,
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       has anyone made any promises to you about what sentence I
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       will impose in this case if I accept your guilty plea?
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                 THE DEFENDANT: No, Your Honor.
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                 THE COURT: Are you entering this plea of guilty
       voluntarily and of your own free will and because you are,
 6
 7
       in fact, guilty, sir?
                 THE DEFENDANT: Yes, Your Honor.
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 9
                 THE COURT: Is there anything you don't understand
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       about our proceeding today or your plea in this case?
                 THE DEFENDANT: No, Your Honor.
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12
                 THE COURT: Is there anything you want to ask me
13
       or your lawyer about before you enter your plea?
14
                 MR. PATTIS: It's up to you.
15
                 THE DEFENDANT: No.
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                 MR. PATTIS: May I have a moment?
17
                 THE COURT: Yeah.
18
                 (Brief pause.)
19
                 THE DEFENDANT: No, I feel comfortable at this
20
       time, Your Honor.
21
                 THE COURT: All right. Mr. Shroyer, what is your
22
       plea to Count 1 of the information, entering and remaining
23
       in a restricted building or grounds, in violation of 18
       United States Code Section 1752(a)(1), guilty or not guilty?
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25
                 THE DEFENDANT: Guilty, Your Honor.
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THE COURT: All right. I do find that the
defendant is fully competent and capable of entering an
informed plea, that he understands the nature of the charges
and the consequences of the plea, that the plea of quilty is
knowing and voluntary, and that he's acting of his own free
will in pleading guilty, and that there is an adequate
factual basis containing each of the essential elements of
the offense; therefore, I do accept the plea and the
defendant is now adjudged guilty of Count 1 of the
information.
          You may return to your seat, sir.
          All right. Ms. Harris, do you have a suggested
sentencing date?
          THE DEPUTY CLERK: Tuesday, September 12th.
          THE COURT: How does Tuesday, September 12th at
10:00 o'clock work for the parties?
          MR. PATTIS: No objection, Judge.
          (Brief pause.)
          MR. EDWARDS: Works for the Government, Your
Honor.
          THE COURT: All right. So we'll set things down
for then, Tuesday, September 12th, at 10:00 o'clock. I will
ask the parties to file sentencing memoranda one week
beforehand which would be September 5th. Let me ask -- I
mean, in most cases -- well, let me ask this. Do the
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of release?

1 parties think there is any reason for me to stagger the submission of those sentencing memoranda from either side's 2 3 perspective? 4 MR. PATTIS: Defense does not. 5 MR. EDWARDS: Not from the Government. THE COURT: Okay. So we'll make those due 6 September 5th, one week beforehand. 7 Mr. Shroyer, let me tell you a little bit about 8 9 how sentencing will work, sir. A written presentence report 10 gets prepared by the Probation Office to assist me in sentencing. It's a lot -- just a lot of information about 11 12 you to help me craft a sentence for you. You'll be asked to 13 give information to the presentence report writer. 14 Mr. Pattis may be present, if you would like, when you give 15 that information, and you and your lawyer will have an 16 opportunity to read that report and to object to portions of 17 it, if you think some of it's inaccurate, and give that 18 objection to the Probation Office before I even see it. 19 You'll also, obviously, have the opportunity to speak with 20 me, if you so choose, at your sentencing hearing and, of 21 course, your lawyer will have the opportunity to address me 22 then. 23 Is -- I didn't see it in the agreement. But 24 what's the Government's position on Mr. Shroyer's conditions

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                 MR. EDWARDS: Part -- as part of the plea
 2
       agreement, Your Honor, the Government agreed that we
 3
       wouldn't seek any change in his status. I believe it's on
 4
       Page 3 -- 5, Paragraph 9.
 5
                 THE COURT: I would have -- yep, I would have
 б
       thought that would have been the case.
 7
                 Mr. Shroyer, so you'll be continued on your -- the
       conditions of release you've been on so far in this case.
 8
 9
       It's certainly, as it has been up until now, in your best
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       interests to continue to abide by those conditions of
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       release between now and September 12th and we will go from
12
       there at sentencing.
                 Is there anything else that counsel thinks we need
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14
       to address here today?
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                 MR. PATTIS: Only one thing, Judge. Mr. Shroyer
16
       resides in Austin. Is it possible to arrange a presentence
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       investigation either through someone in the Austin office or
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       to do it telephonically so that he doesn't have to return
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       here for this?
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                 THE COURT: Oh, I think it's routinely done
21
       telephonically.
22
                 MR. PATTIS: It may be -- not for -- I'm aware of
23
       incarcerated cases -- that may be just the incarcerated --
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                 THE COURT: Oh.
25
                 MR. PATTIS: -- case where you have to show up,
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       but if it's done telephonically, that's fine.
                 THE COURT: I think that's right.
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                 What's the Government's view of this?
                 MR. EDWARDS: I think that's right, Your Honor.
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       I -- and regardless, the Government wouldn't oppose, not
       that we have leverage here, but we don't oppose if that
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 7
       interview's done telephonically or virtually. We just defer
       to Probation and how they handle that.
 8
 9
                 THE COURT: Yeah.
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                 I mean, let me put it this way, Mr. Pattis --
11
       because I don't think we have anybody from Probation here
12
       today -- but if that's an issue, you know where to find me.
13
                 MR. PATTIS: Yes, sir.
14
                 THE COURT: I would be -- I don't think there's
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       any reason for him to travel halfway across the country for
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       that interview, but you all can let me know if that's an
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       issue.
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                 MR. PATTIS: Yes, sir.
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                 THE COURT: All right. If there's nothing
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       further, then, I will see the parties back here on September
21
       12th. And the parties -- oh, hold on one second. Let me
22
       just make sure. Ms. Harris has a ball she needs -- and
23
       until then, the parties are dismissed.
                 MR. EDWARDS: Thank you, Your Honor.
24
25
                 THE DEPUTY CLERK: All rise. This Honorable Court
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stands in recess. (Proceedings concluded at 10:36 a.m.) CERTIFICATE OF OFFICIAL COURT REPORTER I, TIMOTHY R. MILLER, RPR, CRR, NJ-CCR, do hereby certify that the above and foregoing constitutes a true and accurate transcript of my stenographic notes and is a full, true and complete transcript of the proceedings to the best of my ability, dated this 3rd day of October 2023. /s/Timothy R. Miller, RPR, CRR, NJ-CCR Official Court Reporter United States Courthouse Room 6722 333 Constitution Avenue, NW Washington, DC 20001 

## **EXHIBIT 2**

## IN THE UNITED STATES DISTRICT COURT

FOR THE DISTRICT OF COLUMBIA

- - - - - - - - - - - x

UNITED STATES OF AMERICA CR No. 1:21-cr-00542-TJK-1

v. Washington, D.C.

Tuesday, September 12, 2023

JONATHON OWEN SHROYER, 10:00 a.m.

Defendant.

TRANSCRIPT OF SENTENCING
HELD BEFORE THE HONORABLE TIMOTHY J. KELLY
UNITED STATES DISTRICT JUDGE

## APPEARANCES:

For the United States: Kimberly L. Paschall, Esq.

Troy A. Edwards, Jr., Esq. U.S. ATTORNEY'S OFFICE 555 Fourth Street, NW Washington, DC 20530

(202) 252-2650

For the Defendant: Norman A. Pattis, Esq.

PATTIS & SMITH, LLC 383 Orange Street

1st Floor

New Haven, CT 06511

(203) 393-3017

Court Reporter: Timothy R. Miller, RPR, CRR, NJ-CCR

Official Court Reporter U.S. Courthouse, Room 6722 333 Constitution Avenue, NW

Washington, DC 20001

(202) 354-3111

Proceedings recorded by machine shorthand; transcript produced by computer-aided transcription.

## PROCEEDINGS

THE DEPUTY CLERK: This is Criminal Matter 21-542, United States of America v. Jonathon Owen Shroyer.

Present for the Government are Kimberly Paschall and Troy Edwards; present from the United States Probation Office is Aidee Gavito; present for the defendant is Norman Pattis; also present is the defendant, Mr. Shroyer.

THE COURT: All right. Good morning to everyone.

Is there anything preliminary before we begin from the Government first?

MS. PASCHALL: Not for the Government, Your Honor.

THE COURT: All right. From the defense?

MR. PATTIS: No, sir.

THE COURT: All right. I -- we are, obviously, here for the sentencing for Mr. Shroyer. I have reviewed everything, I think, pertinent on the docket which is the presentence report and the sentencing recommendation from the Probation Office -- those are ECFs 44 and 45 -- the sentencing memoranda from the Government and the defendant and the defendant's reply -- that's 46, 48, and 49 on the docket -- as well as all the videos the Government has provided.

Are there any other documents or materials for me to review?

MR. PATTIS: Judge, I'd like the record to reflect

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       I sent a note to chambers about submissions in this case.
       The submissions that you have mentioned are the submissions
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 3
       we rely on. I have not read other submissions that may or
       may not have found their way to you.
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 5
                 THE COURT: They have not. If I have not
       mentioned them, I have not -- they have not made their way
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       to me and I have not reviewed them.
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                 MR. PATTIS: Thank you, sir.
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                 THE COURT: All right. Anything else from the
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       Government?
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                 MS. PASCHALL: No, Your Honor.
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                 THE COURT: All right.
                                         That has been a -- in some
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       cases, certainly, an issue that folks from the public think
       they should have a say in a criminal case when they haven't
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       been invited to by either side. I don't know if that's the
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       case here, but in any event I -- whatever that reference --
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       you've referenced, I have not seen.
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                 Mr. Shroyer, our sentencing hearing today --
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       actually, before I do that, let me just make sure -- well,
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       we'll get to that in a moment.
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                 Mr. Shroyer, our sentencing hearing today will
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       proceed -- you can have a seat, sir. You can have a seat.
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                 Our sentencing hear today will proceed in four
       steps. And all the while, I want you to keep in mind the
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       seriousness of why we are here. You committed and pled
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guilty to a federal crime, and today's hearing is about the consequences that you'll face as a result of your decision to commit that crime.

The first step of today's hearing is for me to determine whether you have reviewed what's called the presentence report and whether there are any outstanding objections to that report and, if so, to resolve those objections.

The second step is for me to determine what sentencing guidelines and sentencing range applies in your case based on your criminal history and considering any mitigating or aggravating factors that might warrant a departure under the sentencing guidelines manual.

The third step is for me to hear from the Government, from your counsel, and from you if you wish to be heard about sentencing in this case.

And the last step is for me to fashion a just and fair sentence in light of the factors Congress has told me I have to consider in 18 United States Code Section 3553(a), and as part of that last step, I will actually impose the sentence along with any other required consequences of the offense.

So the presentence report in this case was filed -- the final one -- on September 5th of 2023. Does the Government have any objection to any of the factual

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       statements set forth in that report?
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                 MS. PASCHALL: No, Your Honor.
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                 THE COURT: All right. Let me turn to you,
       Mr. Pattis. Does the defendant have any objection to any of
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       the factual statements set forth in the report?
                 MR. PATTIS: Yes, Judge --
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 7
                 THE COURT: Mr. Pattis, can I just ask you to --
       I'll give you two possibilities. One is you may remain
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 9
       seated -- you can move the microphone over and address me
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       that way -- or you can come to the podium. Either way, I
11
       need a microphone near your mouth.
12
                 MR. PATTIS: Judge, we have filed objections.
       don't know if they found their way to the Court. I think
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14
       they were a day or two late.
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                 THE COURT: Well, they -- if they're part of the
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       presentence -- in other words, the presentence report
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       typically notes any outstanding objections -- the final
18
       presentence report typically notes any objections that
19
       remain outstanding after you've talked with them about them,
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       and this just says the defendant, through defense counsel,
21
       filed clarifications of two paragraphs that have been
       included. So --
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23
                 MR. PATTIS: Okay.
                                     That's --
24
                 THE COURT: -- that sounds like there's no
25
       outstanding objections.
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1 MR. PATTIS: Correct. That is the case, sir. 2 THE COURT: Okay. All right. Very well. 3 If you can, Mr. Pattis, slide the microphone to Mr. Shroyer. Let me just ask him a few things. 4 5 Mr. Shroyer, are you satisfied with your attorney, Mr. Pattis, in this matter? 6 7 THE DEFENDANT: Yes, Your Honor. THE COURT: Okay. And have you had enough time to 8 9 talk with him about both the presentence report and the 10 papers the Government has filed in connection with 11 sentencing? 12 I have, Your Honor. THE DEFENDANT: 13 THE COURT: All right. And you're prepared to go 14 forward today? 15 THE DEFENDANT: Yes, sir. 16 THE COURT: Okay. Again, you may be seated. 17 All right. So I will accept the facts as stated 18 in the presentence report and the presentence report will be 19 my findings of fact for purposes of this sentencing. 20 Now, the next step is the guidelines. First, let 21 me just lay out the, sort of, maximum under the law and how 22 the guidelines apply in this case and then I'll ask the 23 parties if they agree. I don't think there's likely to be any material disagreement here. 24 25 First, as a preliminary matter, Congress has

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can let me know. I'm going to assume for the moment, given

your silence, that it is the case. So I will now discuss

the remaining applicable penalties in the case, given that

MR. PATTIS: Yes, sir.

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THE COURT: Okay. Now, we get to the heart of the matter, consideration of the statutory factors and Mr. Shroyer's opportunity to speak to me.

I must now consider the relevant factors that Congress set out in 18 United States Code Section 3553(a) and ensure that I impose a sentence sufficient but not greater than necessary to comply with the purposes of sentencing. These purposes include the need for the sentence imposed to reflect the seriousness of the offense, to promote respect for the law, and to provide just punishment for the offense. And the sentence should also afford adequate deterrence to criminal conduct, protect the public from future crimes of the defendant, and promote rehabilitation. And in addition to the guidelines and policy statements, I must consider the nature and circumstances of the offense; the history and characteristics of the defendant; the need for the sentence imposed to comply with the purposes I just mentioned; the kinds of sentences available; the need to avoid unwanted sentence disparities among defendants with similar records who have been found guilty of similar conduct; and the need to provide restitution to victims of the offense.

So let me hear from the Government on application of the 3553(a) factors and to make a sentencing

recommendation.

MS. PASCHALL: Thank you, Your Honor.

The Government recognizes it's a difficult thing for the Court and the parties to grapple with a specific defendant's culpability in the context of a larger event, particularly this event which looms large over all of these sentencings but does not tell the whole story, nor does it tell the specific story of this defendant. The Government, by its allocution today, does not intend to attribute the events of January 6th to this particular defendant. And fortunately, we have the 3553(a) factors to consider in our analysis with this specific defendant.

That being said, we cannot ignore the impact of that event as a whole as we consider what is an appropriate sentence here, the impact on the officers who were present that day, the lawmakers who were there to do their job, and the institutions of our government that now hang more precariously in the balance than they did previously. Your Honor has noted in past sentencings that the damage done that day was both tangible and intangible. We will be talking more about the second here. And as Judge Kollar-Kotelly has previously noted, every drop adds to the flood. Every person who was illegally there that day plays a part. Every defendant added to the overwhelming effect of what is now this black mark in our nation's history.

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I want to first address some things that were raised in the supplemental memo before turning to the Government's specific recommendations. And I'll start with the First Amendment arguments. Your Honor has very recently addressed this in the sentencing of United States v. Nordean, et al. It's not a completely frivolous argument. The safeguards of the First Amendment are incredibly important. But as you've repeated there, the argument that because speech is neither incitement or a threat means that it's completely protected, it has no basis in the law. THE COURT: So let me just push back on that just a little bit. I'm pushing back on my own quote. So take that of -- what you will. What -- the issue in that case was -- I think it was differently positioned in [sic] a couple of reasons. And Mr. Pattis knows we had many a conversation about this during that case. And throughout, given the types of charges at issue, I thought there was no doubt that statements -- that someone's statements protected under the First Amendment -- that someone could not be put in jail or prosecuted for those statements -- that those statements

can be evidence at a trial. Of course, we didn't have a

trial here. But even the charge to which Mr. Shroyer has

pled guilty doesn't have the kind of intent elements that

the statutes at issue in that case had. And so I mean,

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obviously, we're not talking -- these are separate questions about what I can consider at sentencing and what is -- what might be admissible evidence at a trial. And I think, look, the Government cited a lot of cases that by and large, at sentencing, in theory, I can consider just about anything. I -- it's really a question of what weight, it seems to me, to give whatever you're arguing to me. And I just think --I mean, I think that a statement that -- let's just say -that the election was stolen, I, you know -- that could be admissible evidence in a trial to show motive or intent to, then, do some -- if it linked up with the mens rea that the Government had to prove, but when -- it seems to me it's further afield when we're talking about a trespass offense and, as Mr. Pattis says, Mr. Shroyer or any American has the right to believe and say things that -- against all evidence. Let's put it that way. They have that right. So I don't know how much -- I feel a little differently about statements that are made the closer we get to the event. And, certainly, when we're talking about amping up the -- I think there's been many, many January 6th

differently about statements that are made the closer we get to the event. And, certainly, when we're talking about amping up the -- I think there's been many, many January 6th cases in which judges have said, "Look, I find an aggravating factor the fact that someone was out there amping up the crowd." I -- and I agree. Like, I don't think -- whatever someone has said, someone amping up the crowd while on the steps of the Capitol, I think, is fair

game. I just don't know how much, really, weight to give a statement made a month before or whatever that -- or I shouldn't say I don't know how much weight to give it -- my inclination is to give it little to no weight that someone postured like Mr. Shroyer is in a situation where he's pled to what is essentially a trespass offense a month before saying, in summary, "I think the election was stolen" -- "I" -- or "I" -- whatever along those lines. I just -- I'm uncomfortable giving much weight to that.

MS. PASCHALL: So several things.

First, with respect to the weight on evidence of the trespassing charge, I tend to agree; however, it would be a mistake, as I mentioned, to extract a trespassing charge from the entirety of Jan. 6th.

THE COURT: Agreed.

MS. PASCHALL: And so his statements with respect to what was happening on that day should be relevant for Your Honor's consideration.

Second, we draw your attention to those statements because of how heavily they bear on the 3553(a) factors. This defendant is unique in many ways. Primary among that, he already had a pending charge for this exact behavior. If Your Honor is meant to deter future crimes of this type from the defendant, we need to look at what his motivations and intents were for coming here to commit that crime in the

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first place. Those statements evince a depth of concern for the Government because of how regular they are and how many people heard them. Your Honor has probably had many people who have come before you in these January 6th cases and said something to the effect of, "I was repeating what I heard on the Internet. I got swept up in the crowd. I didn't know what I was doing." That isn't the case here because of those statements. It's abundantly clear that this defendant is intelligent, he's paying attention, and he knows what he's doing, and that's what's concerning to the Government. In other cases, we have asked for the court to look at an aggravating factor of giving interviews post hoc because of the spread of disinformation about what happened on that day and the concerns for general deterrence if information is getting out there that appears to be saying that these things are appropriate, they are okay, praising the events of that day.

THE COURT: Okay. And that's a -- and then that's a distinction -- I would just say, I agree with you that to the extent someone is saying -- someone is before me for committing a crime, and afterward, they say, "I would commit that crime again; that crime was great, "no question, that's fair game and goes to their remorse. I would also say even a statement of overall what happened that day in -- January 6th, Congress being overrun and the proceedings being halted

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       by violence and the threat of violence, yeah, I think that's
       also fair game in terms of thinking about remorse --
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       about -- for the overall event, but I just -- I make a
       distinction, again, between someone saying anything along
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       those lines and someone saying -- again, it's not before me.
       I'm not going to -- I'm not adjudicating the question of
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       whether the election was stolen here. Many other courts did
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       that. Put it that way. But my point is someone saying
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       after the fact, "I still think the election was stolen,"
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       look, it's an unfortunate thing. I'm not arguing with you.
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       But I don't -- I -- to me, that kind of statement, I'm just
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       not going to give -- I'm not going to give a whole heck of a
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       lot of weight to it, if any weight to it, in this or any
       sentencing because I just think -- I mean, I -- this is one
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       area where I think I agree with Mr. Pattis. If we're not
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       talking about violence, we're not talking about a crime --
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                 MR. PATTIS: May I order that piece of the
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       transcript on an expedited basis?
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                 (Laughter.)
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                 THE COURT: I do think people have that right
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       and -- more so than I have that right -- let me put it this
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       way. I don't think there's anything -- unmarried to
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       violence, there's nothing particularly even aggravating
       about that. It's un- -- again, I would -- my -- from where
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       I sit, it's an unfortunate thing, but I just -- I feel a
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little uncomfortable weighing that very heavily.

MS. PASCHALL: And I take Your Honor's point with respect to any statements about the stolen election, but if we excise that statement that concerns Your Honor from the rest of the statements that the Government has submitted, the rest of the statements should give Your Honor pause. The statements leading up to the event concerning any calls for revolution, for violence, anything about "death to tyranny," chants of "1776," those are concerning. And the statements after the fact, bringing on other members of the mob to glorify their actions, stating that we should be proud of what happened that day, laying the blame for what happened that day at the feet of Antifa, these are not his opinions that can exist on their own and not affect Your Honor's decision-making with respect to the 3553(a) factors.

And to be abundantly clear, the Government is not in the business of convicting people for their beliefs.

This defendant is not sitting here because of who he voted for, who he believes should be the leader of this country. He's convicted for his actions on that day. And any effect that the defense feels the Government's memo might have on the chilling nature of free speech is washed away by the fact that he sits here for what he did, not what he said.

Judge Mehta put that much more eloquently than I could in the Stewart Rhodes sentencing. He said in this

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country, we don't paint with a broad brush, and shame on you if you do. Shame on you if you want to convict somebody because they supported the former president. That doesn't mean that they are a right-wing extremist or a criminal. They voted for the other guy. He voted for the other guy, and he encouraged other people to do so, and he had civic disagreements with those who did not. That is a bedrock of our democracy. We want that here. What we don't want is what he sits convicted of. We cannot have people who take the law into their own hands, join into a mob, foment others towards a revolution. That's distinctly different than if he had stayed at home and continued to express his views on his Internet streaming show.

I want to turn to that for a minute as well, because the defense supplement talks a lot about how he is a journalist who has pled guilty to this crime. Government is not in a position to debate the merits of that. But what we do know is that on January 6th, he is not acting like a journalist. A journalist gathers news, reports on what they see. A journalist does not make themselves the story. In fact, there were many journalists who were there with press passes from the United States Capitol Police. They might carry videocameras, telephoto lenses, audio recordings, microphones. This defendant is carrying none of that. He's carrying a bullhorn and

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screaming "1776" to a crowd of thousands of people on the United States Capitol steps. This does not a journalist make. So we believe that argument to be a complete red herring.

I want to take a moment to focus on the effect of Mr. Shroyer's crime. He's not charged with destruction of property or assault on a police officer. Our sentencing recommendation would have been significantly higher if he had been. But I don't want to discount the effect that each individual had on the officers who were there that day doing their duty and on the lawmakers who were present inside. Wе submitted to Your Honor and to defense counsel a portion of testimony from Officer Carrion who testified in the Parker case, and his testimony about the east front where this defendant was located is particularly powerful because those officers woke up that morning with the intention of doing their jobs, to protect the building and the people inside of it, and for that officer to say that by the end of his tour, he was five men deep with hundreds of people in front of him outmanned and could easily be overpowered speaks to what this defendant did. He is one of those numbers. A mob does not have any effect if it doesn't have numbers.

And his prior crime, sort of, speaks to that; right? Because he has been charged with another offense that looks extremely similar to this one, but it doesn't

have the same effect. One individual who comes and disrupts Congress has perhaps committed a crime, but, maybe, not one that makes national news; not one that causes the normal functioning of the democracy to stop; not one that causes, by estimation of Officer Daniel Hodges who spoke at the Patrick McCaughey trial, at least 50 police officers in the District of Columbia to leave their jobs in the months after January 6th, 50 police officers who cite at least in some part what the mob did to them that day -- not just the people who assaulted them, damaged property; the mob -- what those officers heard, what they understood to be happening, a historic and terrifying event for those individuals just because of the members of the mob who were yelling into bullhorns like this defendant did.

Your Honor mentioned briefly about how his other statements may play into his remorse, and we do want to focus on that. Your Honor addressed this in the Pruitt case after that defendant made a statement. And you noted that he had time to reflect on what had happened that day and had given interviews where he said that he had no regrets; essentially, that he really had done nothing wrong. That's not dissimilar to what has happened here. And you can consider that in weighing the sentence. You had hoped in the Pruitt case that any defendant who would make a statement would seek a complete disavowal of what he and the

assured that this defendant is not going to take part in actions like this again because he fully accepts

responsibility. That's one of the 3553(a) factors that

12 would give extra credit for remorse.

And finally, to talk a little bit about deterrence, I've already noted this defendant was not deterred by having one case for disruptive and disorderly conduct at the Capitol. He is probably the only January 6th defendant who actually had a map where he knew he was not supposed to be, and yet to continue that activity after he already had one case, I think, is a strong factor for Your Honor to consider in deterring this defendant from future crimes. Should he be granted leniency, who are we to say that he might not think the third time is the charm and to come back, once again, to commit crimes against this city?

And, of course, the general deterrence factor weighs very heavily. It does in all of our January 6th

1 It's the consistent drumbeat Your Honor has heard from the Government over and over that we seek harsh 2 3 sentences in these cases because we do not want anything 4 like this to ever happen again from anybody, regardless of 5 who they voted for, their creed, their ideology. Nobody should come to this district, to this city, and to -- expect 6 to see no ramifications when they commit crimes therein. 7 The Justice Department does not stand by on those occasions, 8 9 and we want that to be incredibly clear with our allocution 10 here. So that's why we've asked for 120 days of 11 incarceration, 12 months of supervised release, and the 12 agreed-upon restitution. 13 Are there any other factors I can address for Your 14 Honor? THE COURT: No, I think you've hit them all. 15 Thank you, Ms. Paschall. 16 17 MS. PASCHALL: Thank you. THE COURT: Mr. Pattis? 18 19 MR. PATTIS: Good morning, again, Judge. I think 20 this will be it for you and I on January 6th cases. It's 21 been a long year, and thank you for your many courtesies. 22 I listened carefully to the Government's argument 23 and to your responses, and we are in a different posture, in my view, than we were in the Proud Boys case. As I took 24

your rulings and the remarks you made at sentencing, it --

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they were as follows: In that case, I argued that otherwise protected speech ought not to count, as it were, to evaluate an element of the offense; that is, the intent to engage in seditious conspiracy. The Court concluded that it should and even said at sentencing in one of the cases -- and I don't recall which -- there -- that may be an issue about some standard that may or may not be applicable that isn't in -- currently in the law. If there's going to be one, I guess it's going to be my responsibility or others' responsibilities to create one on appeal. I get that. we're here not -- we're here not because this speech goes to show an element of the crime, but we're here because of the broad net that relevant offense conduct casts. And I was encouraged to hear the Court say that at least in some instances, little or no weight would be attached to the speech.

This is a case where Mr. Shroyer did appear on January 6th. He harbored -- and perhaps still harbors -- a genuine belief that the election was stolen. The Government stands before you and says he didn't cause the events, but their sentencing memo suggested otherwise. And, candidly, I was delighted by the sentencing memo. It felt like a setup. After all that you and I had gone through in the Proud Boys, I now got a chance to argue -- reargue the case on a playing field that was tilted, in my view at least, in my direction.

1 THE COURT: More so.

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MR. PATTIS: More so, because I don't think it was at all at least based on your rulings in the Proud Boys case.

THE COURT: Well, the facts are tough things.

MR. PATTIS: They are, you know? They -- and, you know, we dealt -- that case is behind us and we're here on this one.

The Government argued speech, but it ignored other core First Amendment values; and that is, assembly, the ability to petition for redress of grievances. And there was an assembly on January 6th at the Capitol, and Mr. Shroyer did violate the DPA, and he'll address that in a The Government seems to suggest in -- that -- it moment. seems to suggest his -- the notion that -- they seem to ridicule the notion that he's a journalist. The Court is well aware there are no licensure requirements for journalists in the United States. That would be a prior restraint. It acknowledges that hundreds of thousands, if not millions, of people listened to Mr. Shroyer every day, as did 75 million listen to the president in the months up -- leading up to January 6th, as did hundreds of thousands on January 6th when he addressed a crowd at the ellipse.

Mr. Shroyer went to the ellipse. He went with a

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If the Government seriously believed that

Mr. Shroyer was present on Capitol grounds on January 6th in

order to foment violence, they should have, and could have,

charged him with that, but they didn't. Instead, they chose

their charges, and they chose their charges against a man whom they regard as a repeat offender. He did disrupt the proceeding with Mr. Nadler who he thought was a criminal and he thought should have been impeached for his treatment of Donald Trump. Tens of millions of Americans believed that. And to my astonishment, given four indictments, he's still running neck and neck and in some polls ahead of Joe Biden. I don't know what the future holds, but that's not the function of this Court at sentencing in this case.

Mr. Shroyer comes to you today to be sentenced having accepted responsibility for what he did. And I would say, Judge, that among the most powerful mitigating factors is his conduct in the course of the litigation. I think you know full well that had Mr. Shroyer wanted to fight it every step of the way, I was fully capable of engaging in that sort of hand-to-hand conduct [sic]. I sat in front of you for four-and-a-half months in another case and did precisely that, because that was what the client required in that case.

In this instance, we waived an indictment. The Government called, suggesting that it might inure to Mr. Shroyer's benefit if he were to turn over his phones without a warrant. I -- my initial reaction to that was, "I don't know anything about the charges. Let me see them." I looked at the charges and I thought, "Seriously? Seriously?

1 This is what's going on in this country?" And now, I've learned, yeah, it is. We evaluated the case. We filed a 2 3 motion to dismiss here based on a claim --THE COURT: I -- Mr. Pattis, I've got to say right 4 5 there, I -- when you say, "Seriously, this is what's going on in this country, " I'm not sure -- are you -- I -- the 6 Government's entitled to investigate crimes. 7 MR. PATTIS: No, I'm talking about the manner in 8 9 which these cases are being prosecuted. I -- but you know 10 what, Judge? I could not have foreseen that on the riot 11 that day, there would be 1,000 plus prosecutions. I get it 12 now. And in the course of evaluating the evidence and 13 seeing the weight that could be placed on the DPA, 14 Mr. Shroyer agreed that it -- a quilty plea was appropriate. 15 Okay. And what's more, we turned over the cell 16 phones without a warrant. And the Government took, I 17 believe, months -- there were several delays where we -- we talked about -- we came before you and talked about doing 18 19 stuff. 20 THE COURT: Yep. 21 MR. PATTIS: And that was so the agents could pore 22 through his phone. Then Mr. Shroyer, again, showed 23 extraordinary cooperation by sitting for a proffer in which he waived his right to remain silent and to submit himself 24

to detailed questioning about the contents of his phone.

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The suggestion that he ought to go to jail for this is frightening to me because what it suggests is that at the margins of every public event, there is the possibility that a person's going to go to jail not for what they did but because of what they said, and notwithstanding the argument that the Government made here, the Government does focus on these speech acts as aggravating factors, and

they have a right to do so arguably under relevant offense

opinions. He came; he violated his DPA; he went where he

shouldn't have gone; he's pled guilty; and once he realized

that he had no defense, he cooperated with the Government,

giving them the information that was at his disposal, and

there's not a person in this room who can say it wasn't

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       characteristics, but if the First Amendment ever meant
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       something, I think it means something here, and it's similar
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       to acquitted offense conduct. You're aware of the cert
       petition where Judge -- that was just denied in this recent
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       term where the Court basically said to the Guidelines
       Commission, "Do something about relevant offense --
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       acquitted offense conduct or we will."
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                 THE COURT: Yes.
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                 MR. PATTIS: And this is a First Amendment value.
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                 THE COURT: But let me just -- a couple things.
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       This is -- this has nothing to do with acquitted conduct.
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       It's -- nothing was -- there was no --
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                 MR. PATTIS: No, but it has to do with protected
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       speech which is just as vital.
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                 THE COURT: Okay. But what do you make of the
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       idea -- I understand your argument as to a lot of the
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       statements that are more ancillary to the day. Let's put it
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       that way. But what do you make of the idea that it's an
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       aggravating factor that your client wasn't just present in
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       an unlawful place that day but he -- that he was present at
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       that place on the steps of the Capitol while this attack was
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       underway and he was leading the crowd in chants and amping
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       them up in that moment? That's not --
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                 MR. PATTIS: The Government --
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                 THE COURT: That's not something I can properly --
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1 MR. PATTIS: When he was --THE COURT: -- consider? 2 3 MR. PATTIS: -- on the steps of the Capitol, he 4 was standing next to Alex Jones who had a bullhorn and was 5 urging people to turn away from the Capitol so that the Left 6 wouldn't be given what they wanted which was ammunition to prosecute them. They talked to members of the -- the police 7 force on the Capitol steps, asked for permission to address 8 9 the crowd on the steps. The Capitol Police officers 10 acquiesced in that. I'm not going to go so far as to say 11 they gave consent. That's an argument that others might 12 make. I won't. Mr. Shroyer wasn't on the Capitol saying, 13 "Go team, go team." He stood silently by while the lead 14 personality in Infowars urged people to turn away. 15 THE COURT: At one point, but at another point, he 16 did lead chants "USA, USA," and I don't remember whether it 17 was "17-" --18 MR. PATTIS: "Death to tyranny" -- right. 19 THE COURT: Whatever it was. But there -- he did 20 lead chants right on the steps; correct? 21 MR. PATTIS: I'm not sure about that on the --22 approaching the steps, he did. 23 THE COURT: No, I mean on the steps. MR. PATTIS: Well, to chant "USA, USA" on the 24 25 steps, is that incitement? What is that?

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                 THE COURT: I'm not saying -- I, you know -- I
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       know -- again, this is where -- I'm not saying it's
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       incitement or not incitement. I'm saying that the
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       Government -- let me just be very clear where they say this,
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       and I looked at the exhibit and it does appear to show it.
       I mean, the --
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                 MS. PASCHALL: Your Honor --
                 THE COURT: It's Page --
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                 MS. PASCHALL: -- I believe it's Government's
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       Exhibit No. 12 that was submitted.
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                 THE COURT: Right. It's Exhibit-12 which is
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       referenced on Page 13 of their memorandum that Mr. Shroyer
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       is on the steps of the Capitol. I think it was -- yeah --
       "USA" and "1776." I -- whatever. The point is it's --
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       it -- he played a role in amping other people up, not
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       just -- not down the street; not two weeks before. Like, on
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       the steps of the Capitol while this is going on. That
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       doesn't -- look, no one is here saying, you know -- I
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       think --
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                 MR. PATTIS: Judge, jail --
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                 THE COURT: We're talking about the margins here,
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       but I can't imagine -- are you arguing to me that that's not
       relevant?
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                 MR. PATTIS: If it is, it's marginally so. I
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       mean, jail for "1776"? That's King George II or III --
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1 THE COURT: It --2 MR. PATTIS: -- talking, not you. 3 THE COURT: It doesn't matter to me what -- I mean, I -- the point isn't, I think, the particulars, but 4 5 the point of the -- what he said --MR. PATTIS: I agree. I mean, look, he -- it was 6 7 an -- I mean, what he will tell you -- and I'll let him speak for himself, but I've reviewed what I think he's going 8 9 to say and I think what he'll tell you is, look, if he had 10 to do it again, he'd do it differently. He was caught up in 11 the moment, it was a historic event, and it corresponded 12 with his deeply-held views, but in the end when they got 13 there, they reached the conclusion that the events were way 14 out of control and that they needed to enlist the support of 15 that crowd. And if you notice what he said, it was what --16 prelude to what Mr. Jones was saying. "Get away. Go to the 17 other side. There's an event on the other side. Do not go in here. Do not give the Left what we [sic] want." 18 19 There's a reason Alex Jones -- "that they want" --20 has not been prosecuted in this case, and that's precisely 21 that. And Mr. Shroyer was there as an adjunct to Mr. Jones. 22 Is it a factor you should consider? Yes. Does it tip the 23 needle in favor of incarceration? In our view, it does not. 24 In our view, that wouldn't promote respect for the law. 25 "Death to tyranny," "1776," I hope will always be cherished

1 mantras, cherished remarks, cherished phrases at every protest in the United States because this is a country worth 2 3 fighting and dying for, and Mr. Shroyer certainly engaged in 4 that fight. 5 So I think, Judge, that our perspective is, you 6 know, I -- we would ask that the Court adopt the recommendations of the probation officer. I think that 7 8 that's a more sensible response. Candidly, I was so 9 outraged by the sentencing memo, I wanted you to punish the 10 Government and just let him go home from here, saying, 11 "Knock it off, you guys," you know, but I don't hear you 12 doing that, but I think incarceration sends the wrong 13 message in this case. I don't think that Mr. Shroyer is a 14 recidivist, is a criminal --15 THE COURT: Oh, he actually is a recidivist 16 literally because we've -- that's why probably we're even 17 here; right? I mean, he's a recidivist because he got 18 arrested before for disrupting Congress and signed an 19 agreement, and now we're back here because he violated that 20 agreement. 21 MR. PATTIS: Because he crossed the line. 22 crossed a geographic line. He didn't enter the building. 23 He didn't encourage violence. He engaged in no violence. 24 He committed trespass.

THE COURT: All right. Very well.

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                 MR. PATTIS:
                              So for all of those reasons, Judge,
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       and, you know -- we would ask the Court to impose no
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       incarceration.
                 Mr. Shroyer would like to address you. And if I
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       may remain here with him while he does?
                 THE COURT: Yes, sir.
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                 Mr. Shroyer, you may approach.
                 (Brief pause.)
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                 THE DEFENDANT: Your Honor, first of all, I'd like
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       to thank this Court, the judge, and the prosecution for
       their time and consideration to hear from me in what I know
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       has been a difficult time for everybody involved in this
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       case and the others. I would like to thank the prosecution
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       for review of my personal effects to help their case and
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       their professionalism in the investigation. It is my
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       understanding that we were all operating in good faith
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       throughout the process.
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                 Now, the Government suggests I have no respect for
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       the law, and I would like to tell you that that is not the
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       case. And, in fact, I have enormous support for law
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       enforcement. I have a public track record of such support,
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       and I have used my platform multiple times to show my
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       support for law enforcement and even have pro-police rallies
       when there were opposition rallies happening at the same
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       time.
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I think that I further demonstrated my support for law enforcement by waiving my indictment and voluntarily turning over my personal effects without any warrant. I was also on probation in this case for more than two years and have been on good behavior as is recognized by my Pretrial Services officers. I never missed a court date throughout this process and, as you know, there were many. I sat for a proffer with the Government and was fully transparent and honest. I'm glad I did show -- I'm glad I did so to show that I was not part of any larger plan for illegal activity or violence that day.

On the issue of remorse, to be clear, had I known the events of that day would have gone the way they did, I not only would have reconsidered my activities and speech for that day, but I certainly would have postured myself and my platform in opposition to how the events of that day ended up going down.

And if I may, to address the issues of the chants, the reason why we engaged in that was an attempt to get the attention of the crowd and draw them away. It was not to amp it up. It was not to support the activities. It was to get the attention and draw the crowds away.

Your Honor, I pled guilty to the offense because I was, and I own that. I should have considered more to heart what I was doing in D.C. that day and my probation, and I

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should have sought permission to cover this event as a journalist for my employer, but I didn't, and for that I am responsible. Your Honor, I ask that you consider my good behavior and my role as a journalist in your final decision in this case.

That is all, Your Honor. Thank you.

MR. PATTIS: Judge, there's one area that I forgot to mention. May I? I know it's unusual.

THE COURT: Yeah, it's okay.

MR. PATTIS: With respect to the community service requirement, Mr. Shroyer, on the 5th, through counsel, did provide to the Government a list of his service and I request that the DPA be honored and dismissed. after January 6th. The Government notified us while there's an open investigation, "We will not be dismissing. And, oh, by the way, it was supposed to be 32 hours." Mr. Shroyer's response was not to say, "Well, never mind," but he went out and did a couple more hours and he -- we never submitted the form because if the Government wasn't going to dismiss, you know, what's the point? But I mean, I think that goes to his respect for the law. And I can tell you that in the course of these proceedings, which has been very difficult because emotions are very high in Mr. Shroyer's world, Mr. Shroyer has been an outlier in every respect. He is respectful of the law, and I would ask you to take in --

that into account in imposition of the sentence.

THE COURT: All right. You know, I think your overall clarification that he had done those hours was important. I think the Government had indicated, as I recall, that he hadn't or he hadn't completed them or I can't recall, but something along those lines.

Does the Government want to make any statement in response to Mr. Pattis's final comments and Mr. Shroyer's allocution?

(Brief pause.)

MS. PASCHALL: Your Honor, I don't think there's much to add here.

With respect to the First Amendment issues, Your Honor is well capable of addressing those. I think, you know, Your Honor's concerns about what was said on that day are entirely appropriate. I understand the defense point of view as to what, you know, he thought he was doing, but I would also note -- and we see this throughout the videos -- he's a well-known individual particularly among this section of the population. What he does matters. His words matter. And so if it had really been their intent to move people to a place where they were appropriately supposed to be, I don't think the actions that we see on the videos reflect that, and his ability to garner a following from the crowd is something Your Honor should consider when thinking about

1 those words. THE COURT: All right. Very well. We'll take a 2 3 10-minute recess and I'll come back and impose sentence. THE DEPUTY CLERK: All rise. This Court stands in 4 5 recess for 10 minutes. (Brief recess taken.) 6 THE DEPUTY CLERK: We're back on the record in 7 Criminal Matter 21-542, United States of America v. Jonathon 8 9 Owen Shroyer. 10 MS. PASCHALL: Your Honor, I apologize. If I 11 could just have one brief indulgence? With respect to the community service hours, I don't think there's a discrepancy 12 13 over the number of hours that Mr. Shroyer did. We do have 14 documentation of that. It's just that all of those hours 15 took place after January 6th. I think that's where the 16 discrepancy lies. THE COURT: Okay. Very well. But he wasn't -- I 17 18 mean, the agreement was still in effect at that time. So he 19 was perfectly entitled to do them at that point, but --20 MS. PASCHALL: Correct, Your Honor. 21 THE COURT: All right. 22 MR. PATTIS: I know you don't want to hear endless 23 tit for tat, but one of the reasons that it took so long to do it is COVID and it was hard to find a place. 24

THE COURT: I -- you didn't even have to say it,

but I definitely appreciated it.

All right. I have assessed the particular facts of this case in light of the relevant 33 -- 553(a) factors and I now want to provide my thoughts for the record and for you, Mr. Shroyer, about my considerations in regard to the nature of the offense, your history and characteristics, and the other things I have to consider.

Let's -- we'll first start as far as the nature of the offense goes. And this is, in some respects, the hardest thing my colleagues and I have to grapple with in these cases, the fact that we have to consider the entirety of the event, but -- to some degree -- but also consider you and what -- and your involvement in the event. Your role -- well, we'll get to that.

What happened that day was, in some ways, as serious an event as there can be, given that it threatened the peaceful transfer of power from one president to another. Your role was not the serious -- most serious of those that day. That's for sure. And we'll get to that. But the overall events of January 6th insofar as I have to consider the nature and circumstances of the offense were quite serious. Mr. Shroyer, our Constitution and our laws preserve for you rights that people in other countries would do just anything -- just about anything for and that many of our -- Americans who came before us fought and died for.

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You have the right to vote for whoever you want for president and, as you know, you have the First Amendment right to speak out in favor of your candidate, to go on TV, radio, the Internet, whatever you would like, and to try to convince all your listeners or followers to vote for that If you don't like how an event is being conducted, person. you can speak about that, too. You can call or write or try to meet with elected officials. You can try to get election laws changed. You can engage in peaceful, lawful protest. And you can even file a lawsuit in this court or in state courts across the country. That's why our courts are here.

But freedom means with those rights come responsibilities. And so what you cannot do is become part of a mob that used violence and the threat of violence to disrupt Congress's ability to fulfill its role that day to process the certification of the Electoral College vote for president. And one way or another, that is what you ended up doing. There's nothing patriotic about it no matter how much we don't like the process of electing our president is proceeding. Every four years, about half the country is -doesn't like the outcome and the losers don't have the right -- even if they think they weren't the losers, they don't have the right to resort to violence or the threat of violence.

So what happened that day was really a serious

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blow against -- a blow against the customs and practices that help support the rule of law and the Constitution. We had -- I've said a bunch of times, we've had -- we had in this country until that day a tradition -- an unbroken tradition of the peaceful transfer of power, but we don't -- that tradition has been broken and it's going to take some time and effort to try to get it back.

So let's talk about your role. Obviously, both sides agree, your role was not -- well, the Government may argue that some of your speech beforehand fomented things that day, but as far as your role that day, it was limited. You didn't bring any weapons. You weren't, as you said, a part of some sort of organized effort to physically -- to take on the Capitol Police and to violently interrupt what had happened -- what -- to violently interrupt Congress. You didn't even go inside the Capitol. But there are two things that separate you from just somebody who was -separate you from somebody who might happen to have been past the barricades toward the Capitol that day and -- but did not go inside. And those two things are that you -- in doing so, you violated a deferred prosecution agreement you had reached with the Government for engaging in unlawful conduct at the Capitol once before, number one. And, number two, you did play a role -- during the break, I went back and watched the video of it -- in amping up the crowd right

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1 outside the Capitol on the Capitol steps by chanting -- I think it was "1776," but it doesn't -- the point -- and 2 3 there's nothing wrong -- the context is everything. There's nothing wrong with the phrase "1776." And it really 4 5 wouldn't that much had -- matter to me what you did chant, but I -- you did play a role at that moment in amping up the 6 crowd with a bullhorn, and I don't believe that you were 7 trying to distract the crowd or turn the crowd away from the 8 9 Capitol. That's just not what that exhibit showed. 10 that's your -- that's the nature of the offense in terms of 11 your role.

> Your characteristics as an offender. You're a college graduate, host of a show on the Internet, a journalist, although your status as a journalist doesn't --I -- let's put it this way. It doesn't have -- it doesn't play any role in my evaluation of things here, because I think nothing that you're being prosecuted for and nothing about your conduct that day really has much to do with your being a journalist. You had two misdemeanors from 2010, and then we've already indicated this issue about the deferred prosecution agreement and the conduct that you had in committing unlawful conduct at the Capitol once before.

> I also have to craft a sentence that reflects the seriousness of the offense but also promotes respect for the law and provides just punishment for the offense. And it

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And then we get to deterrence. And, again, as the Government indicated, this is -- you are probably unique in January 6th defendants insofar as you had a map and insofar as you were on this -- a deferred prosecution agreement for unlawful -- alleged unlawful conduct at the Capitol. And so I do have to consider both specific -- general deterrence in all these cases is important, but I do think there is an issue of specific deterrence here because I don't think --

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because you were given a chance. You were given a chance, and you decided to violate that agreement.

The Government wants 120 -- I have to consider --I also have to consider the types of sentences available. The Government wants 120 days. Probation recommends probation. You are asking for probation.

There are -- and I have to consider unwanted sentence disparities as well and the need to provide restitution. I can tell you I've looked. If a judge really wants to knock themselves out, I've looked at a lot of January 6th defendants' sentences that have been handed out for this crime for, you know -- you do stand out as a -kind of, a unique case because of the deferred prosecution agreement. And so I have to weigh that and try to figure out what -- where I think you fit into the overall hierarchy of the day. My -- but I do weigh strongly the fact that you were given this opportunity and ultimately violated the agreement and didn't take advantage of it.

So if I can ask, Mr. Pattis, you and Mr. Shroyer to please approach the podium.

All right. So after considering all the 3553(a) factors and considering what is sufficient but not necessary to comply with the purposes of sentencing, I do believe the Government's sentence is far beyond what is needed here, but I do -- I am going to sentence Mr. Shroyer to 60 days of

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So pursuant to the Sentencing Reform Act of 1984 and in consideration of the provisions of 18 United States Code Section 3553 as well as the advisory sentencing

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imposed to establish the basic expectations for your conduct while on supervision. The mandatory conditions include, one, you must

Two, you must not unlawfully possess a controlled substance.

not commit another federal, state, or local crime.

Three, you must refrain from any unlawful use of a controlled substance. You must submit to one drug test within 15 days of placement on supervision and at least two periodic drug tests thereafter as determined by the Court.

Firearm restriction. You shall remove firearms, destructive devices, and any other dangerous weapons from areas over which you have access or control until the term of supervision expired.

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You are ordered to make restitution to the Architect of the Capitol Building in the amount of \$500.

I have determined you do not have the ability to pay interest and, therefore, I waive any interest or penalties that may accrue on the balance.

Restitution payments shall be made to the Clerk of the Court for the United States District Court, District of Columbia, for disbursement to the following victim: Architect of the Capitol, Office of the Chief Financial Officer, Ford House Office Building, Room H2-205B, Washington, D.C. 20515. The amount of loss is \$500.

You must pay the balance of any restitution owed at a rate of no less than \$100 each month and provide verification of same to the Probation Office.

The financial obligations are immediately payable to the Clerk of the Court of the U.S. District Court, 333 Constitution Avenue, NW, Washington, D.C. 20001. Within 30 days of any change of address, you shall notify the Clerk of the Court of the change until such time as the financial obligation is paid in full.

The Probation Office shall release the presentence investigation report to all appropriate agencies which includes the United States Probation Office in the approved district of residence. In order to execute the sentence of the Court, treatment agencies shall return the presentence

report to the Probation Office upon the defendant's completion or termination from treatment.

Mr. Shroyer, pursuant to 18 United States Code 3742, you have the right to appeal the sentence imposed by me if the period of imprisonment is longer than the statutory maximum or the sentence departs upward from the applicable sentencing guideline range. If you choose to appeal, you must file any appeal within 14 days after I enter judgment.

Under some -- you can also appeal your conviction to the U.S. Court of Appeals for the D.C. Circuit if you believe that your guilty plea was somehow unlawful or involuntary or if there was some other fundamental defect in the pleading -- in the proceedings that was not waived by your plea agreement.

Pursuant to the D.C. Circuit's opinion, then, in United States v. Hunter, 809 F.3d 677, are there any other objections to the sentence that are not already noted on the record?

MR. PATTIS: Judge, as we would -- we will be intending to take an appeal on the grounds of the fundamental defect because of the Court's reference to speech acts and we think that's inappropriate. So I note that for the record and in support of an application that he remain at liberty under the conditions of supervised release

1 until such time as that appeal can be perfected. 2 THE COURT: What's the Government's position on 3 that? MS. PASCHALL: Your Honor, we have no problem with 4 5 him remaining at liberty until the BOP would, you know, ask for him to come and serve his sentence. We would object to 6 7 him remaining at liberty through the pendency of an appeal. I think we have pretty consistently taken that position. 8 9 And, second, it is not abundantly clear to me in 10 this moment -- though I would have to go back and look at 11 the plea agreement -- that that is a permissible grounds for 12 him to appeal. Your Honor has not given an illegal 13 sentence, which is a permissible grounds for appeal, but I'm 14 not certain that what Mr. Pattis is suggesting now would be and, therefore, it would be inappropriate to remain at 15 16 liberty until the end of the appeals process. 17 THE COURT: Yeah --18 MR. PATTIS: On its face, Judge, the Government is 19 It falls within the parameters of the numbers we correct. 20 discussed. But because of the First Amendment issues in 21 this case, the Government's use of them, the Court's 22 reference on two occasions in its imposition of sentence --

THE COURT: What --

23

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25

MR. PATTIS: -- to speech acts --

THE COURT: Okay.

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1
                 MR. PATTIS: -- we do intend to --
 2
                 THE COURT: What --
 3
                MR. PATTIS: -- take an appeal.
                            What exactly, Mr. Pattis, are you
 4
                 THE COURT:
 5
       talking about in terms of my reference to speech acts? Just
 6
       so I understand --
                 MR. PATTIS: Not merely --
 7
                 THE COURT: -- and so the record's clear.
 8
 9
                 MR. PATTIS: -- a trespasser. He played a role in
10
       amping up --
11
                THE COURT REPORTER: I'm sorry. What? I can't
12
      hear you.
13
                 MR. PATTIS: He was not merely a trespasser.
14
      played a role in amping up the crowd. And then the
15
      reference to his conduct on the whole, given the entire
16
      record and his statements. So I think on -- it would be our
17
       intention to draw attention to that and to perfect the issue
18
       that, frankly, I'd raised in the Proud Boys case on a better
19
      playing field for the defense in this case.
20
                 THE COURT: So --
21
                 MS. PASCHALL: (Indicating.)
22
                 THE COURT: Go ahead.
23
                 MS. PASCHALL: And in the Proud Boys case, they
       chose to go to trial and not waive any of those appellate
24
25
       rights. So that is an appropriate forum for that. I do not
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think that's an appropriate forum here. But, again, I don't have the language of the agreement particularly in front of me, and Your Honor is allowed to consider those things under 3553(a). So regardless of whether or not he chooses to file the appeal -- which, you know, he can make that determination -- the Government's position with respect to release until the perfection of that appeal would be that we oppose. THE COURT: Okay. So there is a body of law -- I know enough to know this. There is a body of law that exists that is -- that sets out a standard under which a court can consider this kind of arrangement. In other words, keeping someone at liberty while an appeal is pending. I don't have that standard in front of me. So I'm not going to order that right now. But, Mr. Pattis, if you

file a motion, I'll consider your motion. I don't want to make any more work for you. My inclination is that there -the standard is something about, you know, whether there's an -- arguably -- I don't know -- a -- it -- there is a standard for when courts can consider that, and I think it --

MR. PATTIS: I think the standard runs something like it has to be more than a non-frivolous basis for the appeal.

THE COURT: Right.

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                 MR. PATTIS: And we think we've met that, but I'll
      brief that.
 2
 3
                 THE COURT: Right. I don't know that you have,
      but I -- if you file a motion, I'll consider it.
 4
 5
                 MR. PATTIS: Thank you, sir.
                 THE COURT: Okay. All right. This concludes
 6
7
      my --
                 THE DEPUTY CLERK: Judge ---
 8
 9
                 THE COURT: -- judgment in this case.
10
                 THE DEPUTY CLERK: Judge, (indicating.)
11
                 THE COURT: Oh, all right. For -- all right.
12
      Yes, I'll hear from you, ma'am.
13
                 THE PROBATION OFFICER: Thank you, Your Honor.
14
                 After the completion of the defendant's custodial
15
       sentence, would the Court be inclined to allow supervision
16
      to be transferred to the Western District of Texas?
17
                 THE COURT: I will allow transfer of supervision
18
      but not jurisdiction.
19
                 THE PROBATION OFFICER: Thank you, Your Honor.
20
                 THE COURT: All right.
21
                 MS. PASCHALL: And, Your Honor, at this time, the
22
      Government would move to dismiss the remaining counts that
       are in the information, Counts 2, 3, and 4. Also, pursuant
23
24
       to the plea agreement, the Government does intend to dismiss
25
       the pending matter in D.C. Superior Court. It may take a
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1
       couple of days for my colleagues in that courthouse to
 2
       effect that, but that is the Government's intention.
                 THE COURT: Okay. That motion, obviously, with
 3
 4
       regard to the other counts against Mr. Shroyer is granted.
 5
                 Hold on one second.
 6
                 (Brief pause.)
7
                 All right. I want to just go ahead and make sure.
       The -- with regard to the special assessment, the -- I don't
 8
9
       have the statute in front of me, but the special
10
       assessment -- is it $25 or $20? I may have said 25, because
       I think 25 --
11
12
                 THE DEPUTY CLERK: It's 20 and it says 25.
13
                 THE PROBATION OFFICER: It should be 25 per
14
       statute, Your Honor.
15
                 THE COURT: 25 per statute.
16
                 THE DEPUTY CLERK: Mm-hmm.
17
                 THE COURT: Okay. So it is -- and what I did say,
18
       Ms. Harris?
19
                 THE DEPUTY CLERK: 20.
20
                 THE COURT: 20? Okay. It's 25. 25 is the
21
       special assessment, to make that crystal clear.
22
                 MR. PATTIS: May I have one moment, Judge?
23
                 THE COURT: Yes.
24
                 (Brief pause.)
25
                 THE PROBATION OFFICER: And, Your Honor, for
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1
       clarification, did the Court make a finding that the
 2
       defendant is unable to make a -- pay a fine in this case?
 3
                 THE COURT: Well, I should have said that, and if
       I didn't, yes, I am making that finding --
 4
 5
                 THE PROBATION OFFICER: Thank you, Your Honor.
                 THE COURT: -- that he does not have the ability
 6
       to pay a fine and so, thus, I am not imposing a fine.
7
                 Thank you.
 8
 9
                 Anything further from the Government?
10
                 MS. PASCHALL: No, Your Honor. Thank you.
11
                 THE DEPUTY CLERK: I assume you're going to let
12
       him self-report.
13
                 THE COURT: Yes. Yes. Yes. Obviously,
14
       Mr. Shroyer -- yes, Mr. Shroyer will not -- to the extent I
       needed to clarify that, Mr. Shroyer will not be detained
15
16
       today. Correct.
17
                 Anything from you, Mr. Pattis?
                 MR. PATTIS: Do we need to report to the marshals
18
19
       office or are we at liberty to leave?
20
                 THE COURT: No, I don't believe so, but why don't
21
       I have -- you -- he'll get instruction on when to report --
22
       when and where, but I don't think you have to report to the
       marshals office today.
23
24
                 MR. PATTIS: Thank you, sir.
25
                 THE COURT: All right.
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1
                 THE DEPUTY CLERK: One more thing.
                 THE COURT: All right.
 2
 3
                 THE DEPUTY CLERK: I have to put it in the
 4
       judgment. Will he be reporting at the direction of --
 5
                 THE COURT: He --
 6
                 THE DEPUTY CLERK: -- Probation or at the
7
       direction of --
                 THE COURT: He -- all right. He'll report at the
 8
9
       direction of the Bureau of Prisons.
10
                 THE DEPUTY CLERK: Of the Bureau of Prisons?
11
       Thank you.
12
                 THE COURT: Correct, unless -- and then
       that's unless and until that is changed by some motion that
13
14
       Mr. Pattis might file. All right.
15
                 (Brief pause.)
16
                 Anything further, Mr. Pattis?
17
                 MR. PATTIS: No, sir.
18
                 THE COURT: All right. Very well. Until then,
19
       the parties are dismissed.
20
                 THE DEPUTY CLERK: All rise. This Honorable Court
       stands in recess.
21
22
                 (Proceedings concluded at 11:32 a.m.)
23
24
                    CERTIFICATE OF OFFICIAL COURT REPORTER
25
       I, TIMOTHY R. MILLER, RPR, CRR, NJ-CCR, do hereby certify that
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the above and foregoing constitutes a true and accurate transcript of my stenographic notes and is a full, true and complete transcript of the proceedings to the best of my ability, dated this 3rd day of October 2023. /s/Timothy R. Miller, RPR, CRR, NJ-CCR Official Court Reporter б United States Courthouse Room 6722 333 Constitution Avenue, NW Washington, DC 20001